07 Civ 7227 (AKH) (AJP)

UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

CARLOS VIGUERA,

Plaintiff,

- against -

BELLEVUE HOSPITAL,

Defendant.

DEFENDANT'S MEMORANDUM OF LAW IN OPPOSITION TO PLAINTIFF'S MOTION TO AMEND AND SUPPLEMENT COMPLAINT

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City No.: 2007-027449

Defendant Bellevue Hospital ("Bellevue"), respectfully submits this brief in opposition to plaintiff's motion for leave to amend and supplement his complaint. Plaintiff's motion, coming seven months after the commencement of this Title VII case, should be denied for lack of subject matter jurisdiction.1

First, plaintiff seeks leave to allege sexual harassment by a co-worker, Ana Blanco. However, plaintiff has never received a right-to-sue letter from the EEOC for a charge of discrimination concerning the alleged conduct of Ana Blanco. Accordingly, the Court has no jurisdiction over these claims, and plaintiff's motion to amend and supplement must be denied.

Finally, plaintiff's amended and supplemental complaint is futile because it fails to state a cause of action. First, the acts plaintiff alleges Ms. Blanco committed are neither severe nor pervasive and therefore do not rise to the level of actionable sexual harassment. Second, plaintiff has never filed an internal complaint with defendant complaining of Ms. Blanco's alleged sexual harassment. Therefore, even if plaintiff could prove a hostile work environment, defendant cannot be held liable for Ms. Blanco's actions because plaintiff cannot prove that defendant either failed to provide a reasonable method of complaining about the alleged conduct, or that defendant knew of the harassment, but did nothing about it.

¹ Unless otherwise indicated, "Title VII" refers to Title VII of the Civil Rights Act of 1964 as

amended, 42 U.S.C. §§ 2000e, et seq., "EEOC" refers to the United States Equal Employment Opportunity Commission, and "Rule" refer to the Federal Rules of Civil Procedure.

STATEMENT OF FACTS

Plaintiff, an employee of Bellevue, in his initial complaint, claims discrimination and retaliation allegedly occurring from 2003 to present date. On January 30, 2004, plaintiff filed a charge of discrimination with the New York State Division of Human Rights ("SDHR") alleging "sexual harassment." A copy of the 2004 SDHR charge is annexed hereto as Appendix "A." Plaintiff complained that he was being sexually harassed by Edie Coleman and Maria del Pilar Ruiz. On March 31, 2006, the SDHR found no probable cause. A copy of the SDHR decision is annexed hereto as Appendix "B." Thereafter, on May 23, 2006, the EEOC adopted the SDHR determination. A copy of the EEOC's decision is annexed hereto as Appendix "C."

On December 6, 2006, plaintiff filed a second charge of discrimination with the EEOC. A copy of the 2006 charge is annexed hereto as Appendix "D." In his charge, plaintiff alleges that defendant discriminated against him on the basis of race, sex, national origin and age and retaliated against him². While plaintiff accuses several Bellevue employees of sexual harassment, he does not accuse Ana Blanco of any such transgression. On May 31, 3007, the EEOC issued plaintiff a right-to-sue letter. A copy of the right-to-sue letter is annexed hereto as Appendix "E."

On August 14, 2007, plaintiff commenced the instant Title VII action by filing a complaint, dated August 14, 2007 ("Complaint"). A copy of the Complaint is annexed hereto as Appendix "F." Plaintiff, in his 61-page Complaint, pleads at length the allegations of discrimination, retaliation and sexual harassment. However, he does not allege that Ms. Blanco ever sexually harassed him. In fact, plaintiff only mentions of Ms. Blanco's name in his

² Plaintiff is now withdrawing all claims of discrimination based on race, national origin, and age. <u>See</u> Declaration of Roosevelt Scymour at "13,"; <u>see</u> plaintiff's proposed amended and supplemental complaint at "1." Thus, the proposed amended and supplemental complaint alleged only discrimination based on gender, sexual harassment, and retaliation.

complaint is as a comparator; he notes that she received an excellent evaluation and was given a supervisory position.

On October 22, 2007, defendant served its answer to the Complaint. A copy of defendant's answer, dated October 22, 2007, is annexed hereto as Appendix "G." On January 18, 2008, an initial pre-trial conference was held.

On January 31, 2008, in compliance with the case scheduling order, parties exchanged initial disclosures. A copy of plaintiff's initial disclosures ("P's Initial Disc.") is annexed hereto as Appendix "H." In plaintiff's initial disclosures, plaintiff provides as evidence of Ms. Blanco's sexual harassment, the following documents: 1) an email from Ms. Blanco to several recipients, including plaintiff, dated July 11, 2007, 2) an email of a photograph purporting to be Ms. Blanco and 3) one other email from Ms. Blanco to plaintiff. See P's Initial Disc., Exhibit "H," at P 006 to P 029.

Plaintiff now moves to amend and supplement his complaint to add Ms. Blanco as a defendant and claims Ms. Blanco sexual harassed him. Plaintiff alleges that, *inter alia*, he was "bombarded" by sexually explicit e-mails, including one with a "provocative" photo of Ms. Blanco posing next to her bed. See Proposed Amended and Supplemental Complaint annexed to Declaration of Roosevelt Seymour as Exhibit "5," at ¶ 28-29. These allegations are not included in his initial complaint or EEOC charge of discrimination, or, for that matter, even in the 2004, SDHR charge. Nor is Ms. Blanco listed as a potential defendant or even listed as a wrong-doer in either the 2004 or 2006 charge.

Plaintiff alleges that he has filed an EEOC charge of discrimination for the allegations against Ms. Blanco. However, he does not allege that he has received a right-to-sue letter on these charges. Furthermore, plaintiff does not allege that he has ever filed an internal

complaint with defendant regarding the accusations against Ms. Blanco. In fact, defendant has never received an internal complaint from plaintiff alleging harassment from Ms. Blanco.

ARGUMENT

PLAINTIFF'S MOTION FOR LEAVE TO SUPPLEMENT THE COMPLAINT IS FUTILE.

A. Standard of Review.

Rule 15(a) instructs that leave to amend complaints should be "freely given." However, leave to amend a complaint cannot be granted if the proposed amendment is futile. See Foman v. Davis, 371 U.S. 178, 182 (1962). An amendment is considered futile if the amended pleading fails to state a claim or would be subject to a motion to dismiss on some other basis. See S.S. Silberblatt, Inc. v. East Harlem Pilot Block, 608 F.2d 28, 42 (2d Cir. 1979); Respass v. New York City Police Dep't, 852 F. Supp. 173 (E.D.N.Y. 1994). The standard for the exercise of discretion on a motion to supplement pleadings under Rule 15(d) is generally the same as that for a motion to amend a complaint under Rule 15(a). See Katzman v. Sessions, 156 F.R.D. 35, 37-38 (E.D.N.Y. 1994). Here, plaintiff's request for leave to file an amended and supplemental complaint to assert new Title VII claims should not be granted because plaintiff failed to meet the prerequisites for filing a Title VII claim in federal court, that is, he has failed to file an administrative charge that encompasses the new claims. Further, plaintiff's proposed amended and supplemental complaint fails to state a cause of action.

B. The Court Lacks Subject Matter Jurisdiction.

A timely filing of a charge of discrimination with the EEOC is a prerequisite for any action under Title VII. 42. U.S.C. § 2000e-5(f)(1); Butts v, New York City Department of Housing Preservation and Development, 990 F.2d 1397, 1402-03 (2d Cir. 1993); Stewart v. INS, 762 F.2d 193, 198 (2d Cir. 1985). This is a matter of subject matter jurisdiction. Id. A plaintiff has 300 days from when a discriminatory act occurs to file a disparate treatment claim with the EEOC. 42 U.S.C. § 2000e-5(e)(1); AMTRAK v. Morgan, 536 U.S. 101, 109, (2002). A Title

VII claimant must also file his complaint not more than 90 days after receipt of a right-to-sue letter from the Equal Employment Opportunity Commission ("EEOC"). See 42 U.S.C. § 2000e-5(f)(1); Comwell v. Robinson, 23 F.3d 694, 706 (2d Cir. 1994). These latter two prerequisites function as statutes of limitations. Zipes v. Trans World Airlines, 455 U.S. 385, 393 (1982).

The Second Circuit has held that a court has jurisdiction to hear claims not included in the EEOC charge if the claims are based on conduct that occurred subsequent to filing the EEOC charge and is "reasonably related" to what was alleged in the EEOC charge. See Butts, 990 F.2d at 1401-2. A claim is "reasonably related" if it: (1) falls within the scope of the EEOC investigation which can reasonably be expected to grow out of the charge of discrimination; (2) is one alleging retaliation by an employer against an employee for filing an EEOC charge; or (3) it is carried out in precisely the same manner as the claims alleged in the EEOC charge. See id. at 1402. Thus, the Second Circuit has stated that the "central question is whether the complaint filed with the EEOC gave the agency adequate notice to investigate discrimination" under Title VII. See Williams y. N. Y. City Hous. Authority, 458 F.3d 67, 70 (2d Cir. 2006) (internal citations omitted).

In the instant case, plaintiff's 2006 EEOC charge did not give the agency any notice of Ms. Blanco's alleged misdeeds. Nor did the 2004 SDHR charge. The basis of plaintiff's 2006 EEOC charge and his Complaint is, *inter alia*, sexual harassment committed by various current and prior employees of defendant, <u>not</u> including Ms. Blanco. Ms. Blanco was not named as a wrong-door and was not the subject of the 2006 EEOC charge. Thus, the EEOC would not have investigated the interactions between plaintiff and Ms. Blanco and this would not have been within the scope of the investigation of the 2006 charge. However, in the proposed amended and supplemental complaint, plaintiff asserts new sexual harassment claims against Ms.

Blanco under Title VII and names her as an individual defendant.³ See Proposed Compl. annexed to Declaration of Roosevelt Seymour as Exhibit "5," at ¶ 17-35. Specifically, plaintiff alleges that beginning no later than August 3, 2007, well after the EEOC charge filing, Ms. Blanco sexually barassed him.

Defendant respectfully submits that the alleged sexual harassment by one individual is not "reasonably related" to the alleged sexual harassment by another. Moreover, alleged sexual harassment that began after the EEOC filing cannot be "reasonably related" to the allegations in such filing. Accordingly, plaintiff's motion must be denied as the Court has no jurisdiction over Ms. Blanco or plaintiff's additional claims.

C. Plaintiff Fails to State a Cause of Action.

To establish the existence of a hostile work environment, a plaintiff must show that his workplace is permeated with "discriminatory intimidation, ridicule, and insult . . . that is sufficiently severe or pervasive to alter the conditions of the victim's employment and create an abusive working environment." Harris v. Forklift Systems, 510 U.S. 17, 21 (1993)(internal quotations and citations omitted). The conduct at issue must be "so severe or pervasive as to create an objectively hostile or abusive environment, and where the victim subjectively perceives the environment to be abusive." Richardson v. New York State Dep't of Correctional Services, 180 F.3d 426, 436 (2d Cir. 1999); see also Harris, 510 U.S. at 21. While the Second Circuit "allows a combination of seemingly minor incidents to form the basis of a constitutional retaliation claim once they reach a critical mass," "[i]noidents that are relatively minor and infrequent will not meet the standard" for a hostile environment. Phillips v. Bowen, 278 F.3d 103, 109 (2d Cir. 2002).

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³ We note that individuals cannot be sued under Title VII. <u>See Tomka v. Seiler</u>, 66 F.3d 1295, 1313 (2d Cir. 1995).

Where, as in this case, a plaintiff alleges harassment by a co-worker, she must not only prove there was a hostile work environment, but a specific basis for imputing the conduct to the employer. See Distasio v. Perkin Elmer Corp., 157 F.3d 55 (2d Cir. 1997); Van Zant v. KLM Royal Dutch Airlines, 80 F.3d 708, 715 (2d Cir. 1996). An employer will only be held liable for its own culpable conduct and not harassment by co-workers. See Faragher v. City of Boca Raton, 524 U.S. 775, 789 (1998). Even if plaintiff proves a hostile work environment, the employer will only be liable if plaintiff also proves that the employer either failed to provide a reasonable method of complaining about the alleged violative conduct, or if the employer knew of the harassment, but did nothing about it. See Distasio, 157 F.3d at 63 (citing Murray v. New York Univ. College of Dentistry, 57 F.3d 243, 249 (2d Cir. 1995)).

Even if plaintiff's allegations made in the amended and supplemental complaint are considered true, they do not rise to the level of a hostile work environment. Plaintiff complains that he was "bombarded" by sexually explicit emails from Ms. Blanco. See Proposed Amended and Supplemental Complaint annexed to the Declaration of Roosevelt Seymour, dated February 14, 2008 ("Seymour Decl.") as Exhibit "5," at ¶ 29. In support of this assertion, plaintiff submits two emails in his initial disclosures. See P's Initial Disc., Appendix "H," at p 006-013; 016-029. These emails do not rise to a level of severe or pervasive. Further, plaintiff alleges that Ms. Blanco emailed a provocative photograph of herself. See id. at ¶ 28. Plaintiff produced this photograph in his initial disclosures. See P's Initial Disc., Appendix "H," at P014-015 and P 029. This photograph depicts an elegantly dressed woman. No reasonably objective observer would consider the photograph provocative. To consider it provocative would cross the line from prudish to delusional. Harris, 510 U.S. at 21-22 (conduct must be objectively severe or pervasive); Demoret, 451 F.3d at 149. Such events are not "sufficiently severe or pervasive to

alter the conditions of the victim's employment and create an abusive working environment."

Accordingly, such events do not create a hostile work environment.

Moreover, plaintiff never even complained to Bellevue about Ms. Blanco. Therefore, even if plaintiff could prove a hostile work environment, defendant cannot be held liable for Ms. Blanco's actions because plaintiff cannot prove that defendant either failed to provide a reasonable method of complaining about the alleged violative conduct, or that defendant knew of the harassment, but did nothing about it. See Distasio, 157 F.3d at 63 (citing Murray v. New York Univ. College of Dentistry, 57 F.3d 243, 249 (2d Cir. 1995)).

CONCLUSION

For all the foregoing reasons, defendant respectfully requests that this Court deny plaintiff's motion for leave to file a proposed amended and supplemental complaint in its entirety together with such other and further relief the Court deems just and proper.

Dated: New York, New York

March 10, 2008

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EXHIBIT "A"

STATE OF NEW YORK: FEXECUTIVE DEPARTMENT STATE DIVISION OF HUMAN RIGHTS

EXEC. LAW ART. 15 SDHR NO: 9S-E-OS-04-7943982-E

(State Division of Human Rights on the Complaint of)

Carlos M. Viguera

COMPLAINANT

- against -

N.Y.C. Health and Hospitals Corporation, Bellevue Hospital Cente and Maria del Pilal Ruiz (Coordinating Manager) and Edie Coleman (Director) as Aider and Abettors

RESPONDENT

TITLE VII: Federal Charge No: 16GA409174

I, Carlos M. Viguera, residing at 1020 Grand Concourse, Bronx, NY 10460, Tel. No. (718) 293-8976, (718) - charge the above-named respondent whose address is First Ave & 27 th St New York, NY 10016 Tel. No. (212) 562-6227 with an unlawful discriminatory practice relating to Employment in violation of Article 15 of the Executive Law of the State of New York (Human Rights Law) because of Opposing Discrimination and Sex.

Date most recent or continuing discrimination took place 01/29/04.

The particulars are:

- 1. I am male, I was sexually harassed and I was discriminated because I Opposed Discrimination.
- 2. I worke for the Respondent Sr. Health Care Program Planning Analyst from May 1989 to Present. My time and attendance as well as my work performance were satisfactory.
- 3. On or about January 2003 and thereafter, Respondent's Co-/05 Coordinator Manager Maria del Pilar Ruiz began to sexually harass me. The sexual harassment includes but was not limited to Respondent's Coordinator Ruiz would ask me to go to her home to eat salmon, she ask me to wait for her after works to socialize. She would stand next to me and move her body in a provocative manner. I tried to ignore her for the longest just because she was my boss.
- 4. On January 15, 2004, I was in the restroom undressed, doing my personal need, and Ms Ruiz, opened the door of the restroom and entered inside to call me for performance evaluation session with

Complaint: Title VII (INT.2) (1 of 2) /rga 01/30/04 SDHR NO: 9S-E-OS-04-7943982-E FEDERAL CHARGE NO: 16GA409174

Edie Coleman, Director of Grants Reporting Unit. I complained to Ms Coleman about Ms Ruiz sexual behaviors to no avail. I do not know how Ms Ruiz managed to open the door from the outside. However, the door could be easily open from the outside with a coin.

- 5. Since I complained to Ms Coleman about Ms Ruiz sexual behaviors, Ms Ruiz began to question the quality of my daily work production. I never have problems with my work performance in the past. I believe that the sole reason why Mr Ruiz is attacking my work performance is because I objected to her sexual advances.
- 6. Based on the foregoing, I charge the above named respondent with an unlawful discriminatory practice related to employment by denying me equal terms, conditions and privileges of employment because I Opposed discrimination and Sex in violation of the New York Human Rights Law, Section 296.

Complaint: Title VII (INT.2) (Supplemental)

01/30/04

SDHR NO: 98-E-08-04-7943982-E

FEDERAL CHARGE NO: 16GA409174

" I have not commenced any other civil or criminal action, nor do I have an action pending before any administrative agency under any other law of this state based upon this same unlawful

I also charge the above-named respondent(s) with violating Title VII of the Civil Rights Act of 1964, as amended (covers race, color, creed, national origin, sex relating to employment) and hereby authorize SDHR to accept this verified complaint on behalf of EEOC subject to the statutory limitations contained in Title

STATE OF NEW YORK COUNTY OF Kings

S :

Carlos M. Viguera, being duly sworn, deposes and says: that he/she is the complainant herein; that he/she has read (or had read to him/her) the foregoing complaint and knows the content thereof; that the same is true of his/her own knowledge except as to the matters therein stated on information and belief; and that as to those matters, he/she believes the same to be true.

Subscribed and sworn to before me this 30th day of January, 2004

(Signature of Notary

200

Complaint: Title VII (INT.2) (2 of 2) /rga

01/30/04

EXHIBIT "B"

STATE DIVISION OF HUMAN RIGHTS STATE OF NEW YORK : EXECUTIVE DEPARTMENT

STATE DIVISION OF HUMAN RIGHTS on the Complaint of

CARLOS M. VIGUERA

Complainant

Ψ.

N.Y.C. HEALTH AND HOSPITALS
CORPORATION, BELLEVUE HOSPITAL CENTER
AND MARIA DEL PILAL RUIZ (COORDINATING
MANAGER) AND EDIE COLEMAN (DIRECTOR)
AS AIDER AND ABETTORS

Respondent

DETERMINATION AND ORDER AFTER INVESTIGATION

Case No. 7943982

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7

Federal Charge No. 16GA409174

On 1/30/2004, Carlos M. Viguera filed a verified complaint with the State Division of Human Rights charging the above-named respondent with an unlawful discriminatory practice relating to employment because of sex, opposed discrimination/retaliation in violation of the Human Rights Law of the State of New York.

After investigation, and following opportunity for review of related information and evidence by the named parties, the Division of Human Rights has determined that there is NOTE PROBABLE CAUSE to believe that the respondent has engaged in or is engaging in the unlawful discriminatory practice complained of. This determination is based on the following:

The Complainant, a male Program Planning Analyst since May 1989, alleges that he was sexually harassed by one female manager during his employment. Complainant alleges that since January 2003, Ms. Maria Del Pilar Ruiz would ask him to go to her home to eat salmon, she asked him to wait for him after work to socialize, would stand next to him and move her body in a provocative manner. On January 2004, he was in the restroom undressed taking care of his personal needs when Ms. Ruiz opened the door of the restroom and entered inside to call him for performance evaluation session with Director Coleman. He complained to Ms. Coleman about Ruiz's sexual behaviors to no about the sexual harassment, Ms. Ruiz would question the quality of his work, when previously she had no problem. Ms. Ruiz also physically assaulted Complainant by punching his back at work.

Determination: Dismissal No Probable Cause

5DHR Case No. 7943982

Carlos M. Viguera V. N.Y.C. Health And Hospitals Corporation, Bellevue Hospital Center And Maria Del Pilal Ruíz (Coordinating Manager) And Edie Coleman (Director) As Aider And Abettors

Respondent denies Complainant claims of sexual harassment and retaliation and avers that its treatment of the Complainant is due to lawful business related issues.

The evidence gathered during the course of the investigation of the instant complaint is not sufficient to support the complainant's allegations that he was sexually harassed and retaliated against for complaining.

The complainant mentions various incidents that he claims are evidence of this alleged sexual harassment by Ms. Ruiz. assuming arguendo that the various alleged incidents mentioned above did occur as indicated by the complainant, they do not constitute severe and pervasive behavior and therefore do not rise to the level required by the statute.

The investigation revealed no information to support that other allegations of sexual harassment other that the incident when the alleged harasser went into the bathroom to find complainant. The evidence shows that the bathroom in question is a unisex bathroom used by all employees in the section. gathered suggests that at the time of the incident, the alleged harasser was looking for the complainant to remind him of a meeting were his performance was being reviewed by Ms Coleman and Ruiz. The complainant's view that this was done to sexually harass him is not supported by the evidence. Complainant also alleges that on one occasion, Ms Ruiz entered his office and "moved her body in a provocative manner" as further evidence of this alleged sexual harassment. This occurred within the confines of the complainant's office and there are no witnesses to this alleged conduct. The complainant continues by alleging that Ms. Ruiz invited him to her house to "eat salmon" as further evidence of this sexual harassment. Ms. Ruiz explained that on one occasion when she was having lunch with the complainant, he ordered salmon and she then told him that she did not eat salmon unless she cooked it herself and that one day she would cook it at her home and invite the complainant to try

The record shows that prior to the complainant filing the instant complaint alleging sexual harassment he was already having performance problems. The record also shows that prior to the instant complaint and after the acts of sexual harassment are alleged to have occurred; the complainant filed a

Determination: Dismissal No Probable Cause SDHR Case No. 7943982 Carlos M. Viguera V. N.Y.C. Health And Hospitals Corporation, Bellevue Hospital Center And Maria Del Pilal Ruiz (Coordinating Manager) And Edie Coleman (Director) As Aider And Abettors

discrimination complaint charging the same individuals with discriminating against him because of his age, sex, national origin, race and retaliation for filing an internal complaint. In his initial complaint, the complainant did not mention sexual harassment. A reasonable person may be obligated to conclude that it is highly improbable that someone asserting all these named bases would fail to include sexual harassment. Complainant's explanation that he was afraid that no one would believe him because he is a man; the record support that the complainant is very vocal when defending what he believes are wrongs done to him.

The complainant also charges that Ms. Ruiz "punched" him either in his ribs or his back. Again, this occurred in his office were there were no witnesses to the alleged act. The record shows that after this alleged incident, the complainant summoned the police who declined to pursue the charges. The complainant then filed a complaint against the police officer with the Civilian Review Board.

The record also shows that the complainant was previously suspended for performance issues and this suspension was upheld by the trier of facts in a Step II grievance. During the hearing there was testimony to the effect that others had to complete some of the complainant's work. Witness Yesenia Torres admitted that she was given some of complainant's work to finish when the complainant could not. This witness also stated that the complainant had confided to her that he thought that Ms. Ruiz "like him more than as a friend." Although the complainant appears to allege that he did not, the evidence adduced support that prior to Ms Ruiz being promoted, they would socialize by going out to lunch together. The witness also stated that the complainant was not an accountant, but an auditor and when he was transfer too the unit he did not have accounting experience.

The respondent has advanced non-discriminatory, business related reasons for the actions taken against the complainant. Such reason is not found to be a pretext for the respondent to discriminate against the complainant. The Complainant continues to work for the respondent,

The complaint is therefore ordered dismissed and the file is closed.

Determination: Dismissal No Probable Cause

SDHR Case No. 7943982

Carlos M. Viguera V. N.Y.C. Health And Hospitals Corporation, Bellevue Hospital Center And Maria Del Pilal Ruiz (Coordinating Manager) And Edie Coleman (Director) As Aider And Abettors

PLEASE TAKE NOTICE that any party to this proceeding may appeal this Determination to the New York State Supreme Court in the County wherein the alleged unlawful discriminatory practice took place by filing directly with such court a Notice of Petition and Petition within sixty (60) days after service of this Determination. A copy of this Notice and Petition must also be served on all parties including General Counsel, State Division of Human Rights, One Fordham Plaza, 4th Floor, Bronx, New York 10458. DO NOT FILE THE ORIGINAL NOTICE AND PETITION WITH THE STATE DIVISION OF HUMAN RIGHTS.

PLEASE TAKE FURTHER NOTICE that a complainant who seeks state judicial review and who receives an adverse decision therein, may lose his or her right to proceed subsequently in federal court by virtue of Kremer v. Chemical Construction Co.,

Your charge was also filed under Title VII of the Civil Rights Act of 1964. Enforcement of the aforementioned law(s) is the responsibility of the U.S. Equal Employment Opportunity Commission (EEOC). You have the right to request a review by EEOC of this action. To secure review, you must request it in writing, within 15 days of your receipt of this letter, by writing to EBOC, New York District Office, 33 Whitehall Street, 5th Floor, New York, New York 10004-2112. Otherwise, REOC will generally, adopt our action in your case.

Dated: 🍃

Brooklyn, New York

STATE AVISION OF HUMAN RIGHTS

earwood-Drury Director O.S.H.I.

EXHIBIT "C"

EEOC Form (01 (3/94)

U.1, QUAL EMPLOYMENT OPPORTUNITY COI SSION

To: Carlos M. Viguera 1020 Grand Concourse Bronx, NY 10480

From: New York District Office - 520 33 Whitehall Street

				New York, NY 10004	ı
		on behalf of person(s) aggrie CONFIDENTIAL (29 CFR \$10	ved whose identity is 801.7(a))		
EEOC Chair	ge No.		presentative		Tata-t
		Holly M	l. Woodyard,		Telephone No.
16G-2004	-09174	Investig			/242) 222 224
THE EEC	C IS CLOSIN	G ITS FILE ON THIS	CHARGE FOR THE FOLLO	WING PEACON.	(212) 336-3843
	The facts alle	ged in the charge fail to state	a ctains under any of the statutes e	enforced by the EEOC,	
	Your allegatio	na did not involve a disability	as defined by the Americans With	Disabilifies Act.	
	The Responde	and employs less than the rec	guired number of employees or is n	ot otherwise covered by the	statuten.
<u> </u>	Your charge vi charge	ras not timely filed with EEO	C; in other words, you waited too i	ong after the date(s) of the	alleged discrimination to file your
	Having been interviews/com	given 30 days in which ferences, or otherwise failed	to respond, you falled to pro to cooperate to the extent that it wa	wide information, failed	to appear or be available for
	While reasons	ble efforts were made to loca	ete you, we were not able to do so.	- W Paris to Issue M	our charge.
	You were given 30 days to accept a reasonable settlement offer that affords full relief for the harm you afterwrit				
The EEOC issues the following determination: Based upon its investigation, the EEOC is unable to conclude that the information obtained establishes violations of the statutes. This does not certify that the respondent is in compliance with the statutes. No finding is made as to any other issues that might be construed as having been raised by this charge.					
X	The EEOC has	adopted the findings of the :	state or local fair employment practi	ices agency that investigate	of this chame
	Other (briefly si	ale)			o and charge.
		(See the	NOTICE OF SUIT RIGHTS additional information attached to be	his form.)	
Tederal law (based on this	charge in federal as a	and/or the Age Discriming we will send you. You man date court. Your lawsuit must charge will be lost. (The tire	i illo e lawstiit agailta	it ine respondent(s) undar-
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ос: <u>Ņ.</u> Ү.(C. HEALTH AN	ID HOSPITALS CORP			

Attn: Shirley Facey New York, NY 10018 STATE DIVISION OF HUMAN RIGHTS
STATE OF NEW YORK : EXECUTIVE DEPARTMENT

STATE DIVISION OF HUMAN RIGHTS on the Complaint of

CARLOS M. VIGUERA

Complainant

ν.

N.Y.C. HEALTH AND HOSPITALS
CORPORATION, BELLEVUE HOSPITAL CENTER
AND MARIA DEL PILAL RUIZ (COORDINATING
MANAGER) AND EDIE COLEMAN (DIRECTOR)
AS AIDER AND ABETTORS

Respondent

DETERMINATION AND ORDER AFTER INVESTIGATION

Case No. 7943982

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(7) (2)

Federal Charge No. 16GA409174

On 1/30/2004, Carlos M. Viguera filed a verified complaint with the State Division of Human Rights charging the above-named respondent with an unlawful discriminatory practice relating to employment because of sex, opposed discrimination/retaliation in violation of the Human Rights Law of the State of New York.

After investigation, and following opportunity for review of related information and evidence by the named parties, the Division of Human Rights has determined that there is A BROBABLE USE to believe that the respondent has engaged in or is engaging in the unlawful discriminatory practice complained of. This determination is based on the following:

The Complainant, a male Program Planning Analyst since May 1989, alleges that he was sexually harassed by one female manager during his employment. Complainant alleges that since January 2003, Ms. Maria Del Pilar Ruiz would ask him to go to her home to eat salmon, she asked him to wait for him after work to socialize, would stand next to him and move her body in a provocative manner. On January 2004, he was in the restroom undressed taking care of his personal needs when Ms. Ruiz opened the door of the restroom and entered inside to call him for performance evaluation session with Director Coleman. He complained to Ms. Coleman about Ruiz's sexual behaviors to no avail. Complainant claims after he complained to Ms. Coleman about the sexual harassment, Ms. Ruiz would question the quality of his work, when previously she had no problem. Ms. Ruiz also physically assaulted Complainant by punching his back at work.

Determination: Dismissal No Probable Cause SDHR Case No. 7943982

Carlos M. Viguera V. N.Y.C. Health And Hospitals Corporation, Bellevue Hospital Center And Maria Del Pilal Ruiz (Coordinating Manager) And Edie Coleman (Director) As Aider And Abettors

Respondent denies Complainant claims of sexual harassment and retaliation and avers that its treatment of the Complainant is due to lawful business related issues.

The evidence gathered during the course of the investigation of the instant complaint is not sufficient to support the complainant's allegations that he was sexually harassed and retaliated against for complaining.

The complainant mentions various incidents that he claims are evidence of this alleged sexual harassment by Ms. Ruiz. Even assuming arguendo that the various alleged incidents mentioned above did occur as indicated by the complainant, they do not constitute severe and pervasive behavior and therefore do not rise to the level required by the statute.

The investigation revealed no information to support that other allegations of sexual harassment other that the incident when the alleged harasser went into the bathroom to find complainant. The evidence shows that the bathroom in question is a unisex bathroom used by all employees in the section. The evidence gathered suggests that at the time of the incident, the alleged harasser was looking for the complainant to remind him of a meeting were his performance was being reviewed by Ms Coleman and Ruiz. The complainant's view that this was done to sexually harass him is not supported by the evidence. Complainant also alleges that on one occasion, Ms Ruiz entered his office and "moved her body in a provocative manner" as further evidence of this alleged sexual harassment. This occurred within the confines of the complainant's office and there are no witnesses to this alleged conduct. The complainant continues by alleging that Ms. Ruiz invited him to her house to "eat salmon" as further evidence of this sexual harassment. Ms. Ruiz explained that on one occasion when she was having lunch with the complainant, he ordered salmon and she then told him that she did not eat salmon unless she cooked it herself and that one day she would cook it at her home and invite the complainant to try

The record shows that prior to the complainant filing the instant complaint alleging sexual harassment he was already having performance problems. The record also shows that prior to the instant complaint and after the acts of sexual harassment are alleged to have occurred; the complainant filed a

Determination: Dismissal No Probable Cause SDHR Case No. 7943982

Carlos M. Viguera V. N.Y.C. Health And Rospitals Corporation, Bellevue Hospital Center And Maria Del Pilal Ruiz (Coordinating Manager) And Edie Coleman (Director) As Aider And Abettors

discrimination complaint charging the same individuals with discriminating against him because of his age, sex, national origin, race and retaliation for filing an internal complaint. In his initial complaint, the complainant did not mention sexual harassment. A reasonable person may be obligated to conclude that it is highly improbable that someone asserting all these named bases would fail to include sexual harassment. Complainant's explanation that he was afraid that no one would believe him because he is a man; the record support that the complainant is very vocal when defending what he believes are wrongs done to him.

The complainant also charges that Ms. Ruiz "punched" him either in his ribs or his back. Again, this occurred in his office were there were no witnesses to the alleged act. The record shows that after this alleged incident, the complainant summoned the police who declined to pursue the charges. The complainant then filed a complaint against the police officer with the Civilian Review Board.

The record also shows that the complainant was previously suspended for performance issues and this suspension was upheld by the trier of facts in a Step II grievance. During the hearing there was testimony to the effect that others had to complete some of the complainant's work. Witness Yesenia Torres admitted that she was given some of complainant's work to finish when the complainant could not. This witness also stated that the complainant had confided to her that he thought that Ms. Ruiz "like him more than as a friend." Although the complainant appears to allege that he did not, the evidence adduced support that prior to Ms Ruiz being promoted, they would socialize by going out to lunch together. The witness also stated that the complainant was not an accountant, but an auditor and when he was transfer too the unit he did not have accounting experience.

The respondent has advanced non-discriminatory, business related reasons for the actions taken against the complainant. Such reason is not found to be a pretext for the respondent to discriminate against the complainant. The Complainant continues to work for the respondent.

The complaint is therefore ordered dismissed and the file is closed.

Case 1:07-cv-07227-AKH Document 16 Filed 03/11/2008 Page 29 of 155

Determination: Dismissal No Probable Cause SDHR Case No. 7943982

Carlos M. Viguera V. N.Y.C. Health And Hospitals Corporation, Bellevue Hospital Center And Maria Del Pilal Ruiz (Coordinating Manager) And Edie Coleman (Director) As Aider And Abettors

PLEASE TAKE NOTICE that any party to this proceeding may appeal this Determination to the New York State Supreme Court in the County wherein the alleged unlawful discriminatory practice took place by filing directly with such court a Notice of Petition and Petition within sixty (60) days after service of this Determination. A copy of this Notice and Petition must also be served on all parties including General Counsel, State Division of Human Rights, One Fordham Plaza, 4th Floor, Bronx, New York 10458. DO NOT FILE THE ORIGINAL NOTICE AND PETITION WITH THE STATE DIVISION OF HUMAN RIGHTS.

PLEASE TAKE FURTHER NOTICE that a complainant who seeks state judicial review and who receives an adverse decision therein, may lose his or her right to proceed subsequently in federal court by virtue of Kremer v. Chemical Construction Co., 456 U.S. 461 (1982).

Your charge was also filed under Title VII of the Civil Rights Act of 1964. Enforcement of the aforementioned law(s) is the responsibility of the U.S. Equal Employment Opportunity Commission (EEOC). You have the right to request a review by EEOC of this action. To secure review, you must request it in writing, within 15 days of your receipt of this letter, by writing to EEOC, New York District Office, 33 Whitehall Street, 5th Floor, New York, New York 10004-2112. Otherwise, EEOC will generally, adopt our action in your case.

Dated:

Brooklyn, New York

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Joyde Yearwood-Drury

STATE FAVISION OF HUMAN RIGHTS

Diffector O.S.H.I.

STATE OF NEW YORK: FEXECUTIVE DEPARTMENT EXEC. LAW ART. 15 STATE DIVISION OF HUMAN RIGHTS

SDHR NO: 95-E-OS-04-7943982-E

(State Division of Human Rights on the Complaint of)

Carlos M. Viquera

COMPLAINANT

- against -

N.Y.C. Health and Hospitals Corporation, Bellevue Hospital Cente and Maria del Pilal Ruiz (Coordinating Manager) and Edie Coleman (Director) as Aider and Abettors

RESPONDENT

TITLE VII: Federal Charge No: 16GA409174

I, Carlos M. Viguera, residing at 1020 Grand Concourse, Bronx, NY 10460, Tel. No. (718) 293-8976, (718) - charge the above-named respondent whose address is First Ave & 27 th St New York, NY 10016 Tel. No. (212) 562-6227 with an unlawful discriminatory practice relating to Employment in violation of Article 15 of the Executive Law of the State of New York (Human Rights Law) because of Opposing Discrimination and Sex.

Date most recent or continuing discrimination took place 01/29/04.

The particulars are:

- I am male, I was sexually harassed and I was discriminated because I Opposed Discrimination.
- I worke for the Respondent Sr. Health Care Program Planning Analyst from May 1989 to Present. My time and attendance as well as my work performance were satisfactory.
- On or about January 2003 and thereafter, Respondent's Coordinator Manager Maria del Pilar Ruiz began to sexually harass me. The sexual harassment includes but was not limited to Respondent's Coordinator Ruiz would ask me to go to her home to eat salmon, she ask me to wait for her after works to socialize. She would stand next to me and move her body in a provocative manner. I tried to ignore her for the longest just because she was my boss.
- On January 15, 2004, I was in the restroom undressed, doing my personal need, and Ms Ruiz, opened the door of the restroom and entered inside to call me for performance evaluation session with

Complaint: Title VII (INT.2) (1 of 2) /rga 01/30/04

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SDHR NO: 98-E-OS-04-7943982-E FEDERAL CHARGE NO: 16GA409174

Edie Coleman, Director of Grants Reporting Unit. I complained to Ms Coleman about Ms Ruiz sexual behaviors to no avail. I do not know how Ms Ruiz managed to open the door from the outside. However, the door could be easily open from the outside with a coin.

- 5. Since I complained to Ms Coleman about Ms Ruiz sexual behaviors. Ms Ruiz began to question the quality of my daily work production. I never have problems with my work performance in the past. I believe that the sole reason why Mr Ruiz is attacking my work performance is because I objected to her sexual advances.
- 6. Based on the foregoing, I charge the above named respondent with an unlawful discriminatory practice related to employment by denying me equal terms, conditions and privileges of employment because I Opposed discrimination and Sex in violation of the New York Human Rights Law, Section 296.

Complaint: Title VII (INT.2) (Supplemental)/rga

01/30/04

SDHR NO: 9S-E-OS-04-7943982-E FEDERAL CHARGE NO: 16GA409174

* I have not commenced any other civil or criminal action, nor do I have an action pending before any administrative agency under any other law of this state based upon this same unlawful discriminatory practice."

I also charge the above-named respondent(s) with violating Title VII of the Civil Rights Act of 1964, as amended (covers race, color, creed, national origin, sex relating to employment) and hereby authorize SDHR to accept this verified complaint on behalf of EEOC subject to the statutory limitations contained in Title VII.

STATE OF NEW YORK COUNTY OF Kings

Carlos M. Viguera, being duly sworn, deposes and says: that he/she is the complainant herein; that he/she has read (or had read to him/her) the foregoing complaint and knows the content thereof; that the same is true of his/her own knowledge except as to the matters therein stated on information and belief; and that as to those matters, he/she believes the same to be true.

(Signature of Complainant)

Subscribed and sworn to before me this 30th day of January, 2004

(Signature of Notary

2000

Complaint: Title VII (INT.2) (2 of 2) /xgal

01/30/04

EXHIBIT "D"

CHARGE OF BISCHIMINATION	16 Filed	03/14(2008	Page 34 of	RGE NUMBER
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will cooperate fully with them in the processing of my charge in accordance with their procedures.	I swear or affirm	that I have read th	e shows charge and	that it is true to be
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U.S. EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

New York District Office 33 Whitehall Street, 5th floor New York, NY 10004 PHONE – (212) 336-3620 FAX – (212) 336-3625

INTAKE QUESTIONNAIRE

[Please type or print legibly] Date Of Birth: State:んソZip Code: ノクダム 327-05 Best time to call: #NYTIVIC -225 Best time to call: Employer or organization that you charge with discrimination: Name: Bellevue Hospital CENTER Address: 562 Zip Code: 10016 Phone (with area code): 212 Hen Ithe CARR STAULCE What type of business does the employer engage in? Number of employees:() 1- 14 () 15 - 100 () 101 - 500 () over 500 () unknown ST-KEEVES I DEPARTMENT Salary/Wage: # 75, 000.00 Name of immediate supervisor: 5 Supervisor's Title: Depv Unit, department or division: SOCIAL WORKER DAM & CULTURAL COMPERENCY Number of employees in department or division:

Number of employees with the same job title:

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Please give a brief description of your complaint and why you feel you were discriminated TOSether with the Current Retaliation As a Member of Bellewe Hospital CENTER (BHC) T Filed a ComplainT EECC CHARGO 16 = 2004-09174 with The State OF New YORK FEECL Which I FEEL HUAT NEW YORK STATE EEER MISSED MANUELL) SINCE I FILED TOR ComplainT AGAINST Bellevie for Genles, EXUAL HAMOSS ARENT, ASSAUIT BY MY Supervisor, AGE + ETHILL DESCRIPTION FIRE Bellevie has been HAUSTER, We here From One Department to Another. IN Every Neputaria A I have been HARASS ed. It START ED with Edie COLEMAN & HS. MARIA 2012 in the GRANT REPORTING DEPARTMENT AND IS NOW TAKINI PLACE JUITH I HO. IRENE QUINONES, SYBIL NURSE-REEUES AND MS INFINE TORRES MS. IRENE DEMONES VERBALLY DEGRADED ME PSCHWognally Abose & Me by TAKING All MY RESAMINITE AWAY bocase I Asked her not to Abost wit THE SHOW HS I QUICKET SAIN FOR HIG FIRE WAS AFFOR her per to pastront When I ASKELL HS, QUINCIARS NOT to HISTRENT Me, She said that I was As Elaig her that DECACIE She WAS a GONAN + I WAS a MAIN. AND She STARTED to HOUR her head & point Bt ma with her FINGER IN a DERY THREATERIN MANDER THEN, Spectuse Ste I did not want to come out of the labor CHON, She took my Spenusor Responsibilities ALMY I HADE ME a CLIENT MOVISATOR, NAVISATOR Stond in Front of HOSPITAL WAIN ENTRYWICE All day load signed here from sit push where charles street was then in as t e. My Dithe a SA. Hear I the CHICE PRESIDEN SAMPLY STANKING

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Page 40 of 155 Case 1:07-cv-07227-AKH Document 16 Filed 03/11/2008 Provide the name of an individual at a different address whom we can contact if we are unable Name: CARMEN VIGUERA

Address: 8600 Short Frant PARKERY FAR MCKARA Filling out this form does not constitute filing a charge Attach copies of any documents you believe would support your discrimination claim IMPORTANT NOTE: (This form is covered by the Princip Act of 1974, Public Law 830576. Authority for requesting the personal data and the uses thereof are given below.) FORM NUMBER/TITLE/DATE: EECC FORM 233, DITAKE QUESTIONNAIRE, AUTHORITY:42 U.S.C. 2000e-6(b), 29 U.S.C. Section 526. PRINCIPAL PURPOSE: The purpose of this questionname is to solid! information to emptie the Commission to avoid the intake of matters, not within its jurisdiction, ROUTINE PURPOSES: information provided on this form will be used by Commission employees to determine the existence of facts revevant to a decision as to whether the Commission has jurisdiction over potential decipes, complaints or affectations of employment described and to provide such pre-charge firing counts and so appropriate. Information provided on this form may be deciped to other over potential decipes, complaints or affectations of employment provided on this form may be deciped to other may be deciped to other over the commission of the deciped of the employment practices there. Information that the employment practices are not provided to other other deciped on the commission of the commission Parties in consideration of or connection with Signbon. WHETHER DISCLOSURE IS MANDATORY OR VOLUMEARY AND EFFECT ON INDIVIDUAL FOR NOT PROVIDING INFORMATION: The providing of this information is voluntary but the taken to do so may harmore the Commission's Investigation of a charge of descrimination, it is not mandatory that this form be used to provide the reque Keep a copy of your completed questionnaire and other documents that you send I declare (certify, verify or state) under penalty of perjury that the foregoing is true and correct to the best of my knowledge.

Print name:

EXHIBIT "E"

Filed 03/11/2008

Page 42 of 155 Aus 27 2007 02:23pm PotQ

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U.S. EQUAL EMPLOYMENT OPPORTUNITY C

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T	DISMISSAL AND NOT	CE OF	RIGHTS
To: Carlos Viguera 1020 Grand Concours Bronx, NY 10451	a	Frem:	New York District Office 33 Whitehall Street 5th Floor New York, NY 10004
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I	•		da information, failed to appear or be available fo not possible to resolve your charge.
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The EEOC has adopte	ed the findings of the state or local fair employmen	nt oractice:	9 and new that improving that this the
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Director

BELLEVUE HOSPITAL CENTER Ms. Shirley Facey, EEO Coordinator 562 1st Avenue New York, NY 10016

ot:

EXHIBIT "F"

United States District Court

Southern	DISTRICT OF	New_York
CHALOS M. VIGOERA	SUMMONS	S IN A CIVIL CASE
v.	CASE NUMBEI	°07-027448
Bellewe AsspitAL CENTER		CW 7227
TO: (Name and address of defendant)		
	Jud	ge Hellerstein
YOU ARE HEREBY SUMMONE	D and required to serve upon PLA	AINTIFF'S ATTORNEY (came and address)
PRO Se CARLOS M. VIETERA 1020 GRAND CONCERSE APT. 4 BRONK, NY, 1045/	_	70) 406
An answer to the complaint which is herewith served upon you, exclusive of the day of service. If you fail the complaint. You must also file your answer with the	(c) (iii) 30. 100 Militarii 2 y 44 Maii a a an a a	days after service of this summons taken against you for the relief demanded mabble period of time after service.
		And 1 4 2007
MICHAEL McMAHON		
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SOUTHERN DIST	DISTRICT COURT RICT OF NEW YORK		ellerstein
<u>SAK CO</u>	S M. VIGUERA	JURY	TRIAL *ANDED
NAME OF PLAIN	TIFF(S)	DER	EANDED '
Bellevye	HOSPITAL CONTER	PANT CTP	7227
NAME OF DEFEN	DANT(S)	AUG 1 4 U.S.D.C. S CASUS	# 図 庫
سر	- check only those that apply):		
	Title VII of the Civil Rig codified, 42 U.S.C. §§ 2000e in 1972, 1978 and by the Civi Pub. L. No. 102-166) (r religion, national origin). NOTE: In order to bring suit in federal distr Title VII, you must first obtain a right to sue Equal Employment Opportunity Commussion.	to 2000e-17 (ail Rights Act or ace, color, coint court under letter from the	amended f. 1991,
<u></u>	Age Discrimination in Employ codified, 29 U.S.C. §§ 621 - 1990, and by the Age Discrim Amendments of 1986, Pub. L. Rights Act of 1991, Pub. L. NOTE: In order to bring suit in federal distrumder the Age Discrimination in Employment first file charges with the Equal Employment	634 (amended in ination in Empl No. 99-592, the No. 102-166). ict court Act, you must	1984, Loymeni Civil
	Americans with Disabilities codified, 42 U.S.C. §§ 12112 the Civil Rights Act of 1991. NOTE: In order to bring suit in federal distrible Americans with Disabilities Act, you must right to sue letter from the Equal Employment	- 12117 (amen Pub. L. No. 102 ict court under first obtain a	-1€ <u>∯</u> .

Jurisdiction is specifically conferred upon this United States District Court by the aforementioned statutes, as well as 28 U.S.C. §§ 1931, 1943. Jurisdiction may also be appropriate under 42 U.S.C. §§ 1931, 1963 and 1985(3), as amended by the Civil Rights Act of 1991, Pub. L. No. 102-166, and any related claims under New York law.

Plaintiff resides at:

1020	2014ND CONCOURSE	4R, Br	ewX
St:	reet Address	, (Cari)	city (Heme)
Drowx_	Veg Ear, 1045	1.9/7-327-05	41 60-718-393-897 Number
County	State Zip Co	ode Telephone	e Number

Defendant(s) lives at, or its business is located at:

FIRST AVERVE Street	+ 27th	Street	. Hew	YORK
MAN HAT TAN	, N.Y. State	_, 100 16.	2/2-563-	6881

3. The address at which I sought employment or was employed by the defendant(s) is:

FIRST ALEVUE & 27th Street

Street Address

Wan Hattan , Lea York Men York 10016.

Country State Zip code.

4. The discriminatory conduct of which I complain in this	astiq
includes (check only those that apply):	
Failure to hire me.	
Termination of my employment.	
Failure to promote me.	
Failure to accommodate my disability.	
Unequal terms and conditions of my employment	c .
Retaliation denot	ical
Other ects (specify): HAMSSMEND, discreme	No. of Lo
NOTE: Only those grounds raised in the charge filed with the Equal Employment Op Commission can be considered by the federal district court.	ротипіі
5. It is my best recollection that the alleged discriming	
acts occurred on: SINCE to 2003 to PRESENT	
6. I believe that defendant(s) (check one)	
is still committing these acts against me.	
is not still committing these acts against	
7. Defendant(s) discriminated against me based on my:	
(check only those/that apply and explain)	
(V race tomores (this is color	
gender/sex Male [] religion	
[W rectional origin Demincan Republic	
[Wage 57 . My date of birth is:	
[] disability	
OTE: Only those grounds raised in the charge filed with the Found Employment Onn	onunin

8. The facts of my case are as follows:
) HISTORY of DISCREMENTION, SOLVEN HARASS MENT + Physical ASOLH &Y
my promisers
2) CUMENT OCTALISTING + DESCRIPTION + HELDS MENT BECOME OF
MY COMPLAINTS FO 5506. SALLIES AY
a) Two demotes & my female Subordinate was given to assittan.
I have a master degree + 17 years, I'm belleve . She has a high
cornel dellowed & Years in Bellevie.
By Toma Francis Money aRtan Advanstation, Herake I Har
Enlandin Seman of disting to his welchair & Take Survey
Constitution deman, wi detect to be the chair of the Sure of Control of Contr
9. It is my best recollection that I filed a charge with the New
York State Division of Human Rights or the New York City Commission
on Human Rights regarding defendant's alleged discriminatory
conduct on: MAY 6, 2003
10. It is my best recollection that I filed a charge with the
Equal Employment Opportunity Commission regarding defendant's
alleged discriminatory conduct on: 12/6/06

Carlos M. Viguera.

August 14, 2007

United States District Courl Southern District of New York

The following are some of the facts that support my complaints against Bellevue :

 A history of discrimination, sexual harassment and physical assault by previous supervisors, Ms. Edie Coleman and Maria Ruiz, in the Grant Reporting Department.

After filing my complaints with New York City and New York State EEOC and Sexual Harassments Office, I have been targeted for retaliation, discrimination and barassments by Bellevue Hospital.

The following are the current retaliation, discrimination and harassment:

* After functioning as a Financial Manager, an auditor and an Administrator, I was demoted to a Client Navigator for no reason, and my female subordinate (Ms. Ama Blanco) with a high school diplomat and no management experience was given my supervisory position. I have a master degree (MPA) and 17 years in NYC-Health and Hospitals Corporation. Ms. Ana Blanco my previous subordinate only had a year working for Bellevue at the time. Bellevue broke the civil servant law by placing me in a functional title lower than my Sr. Health Care Program Planner Analyst title.

My current demeaning duties are to sit down behind a podium and provide directions to patients and visitors about their destinations within the hospital. I also have to visit all the 30 days re-admitted-inpatients, including prisoners and psychiatric patients, to conduct a survey. While conducting the surveys in the patients' rooms, I am expose to all kind of diseases, which I could contract, and take home to my seven years old and twelve years old children. I do not have the proper vaccines or skills to interact with these very ill patients. These functions are usually done by a social worker and a volunteer. Ms. Irene Torres, Sr. Associate Executive Director, can not find anything wrong with my professional work performance, but chooses to embarrass me by asking me to clean my office in front of everyone in the meetings.

* In 2006, after 1) successfully supervising a team of seven navigators to establish a smooth patient flow in the opening of the new Ambulatory Care Building 2) Structuring and performing the entire range of financial functions of the Cultural Competency Department and 3) supervising a group of twelve Interpreters. I was rewarded with a lackluster, fraudulent, discriminatory performance evaluation for no reason. Many Discriminatory statements were written about me and my Spanish accent in this performance evaluation. I am the mentor and guide to the younger and less experienced female workers (Ms. Ana Blanco and Ms. Jin Ma) and they received outstanding rating in their evaluation.

Filed 03/11/2008 Fax: 217-582-6197 Page 50 of 155

* Bellevue Hospital Center as an institution is targeting me for harassment:

I am continuously transferred from one department to another against my will. I applied for different jobs within the Bellevue Hospital and my applications and cover memos are not responded to.

Unlike any other employees in my department, I have to report to three persons. On a daily basis I report to the Social Worker Director, Ms. Inez Suarez. Every day at 1 PM Ms. Suarez reviews the quality and quantity of my work, i.e. how many surveys have I produced. For the patient navigating function, I report to Ms. Ana Blanco, my former subordinate, and whenever, Ms. Irene Torres wants to intervene with me, she gives me additional assignments, and ask me to clean my office. (please look at the attached Social Work Dept. Organizational Chart)

My rebuttal to the frandulent performance evaluation was never responded to by BHC Human Resources Dept. My complaint about the fraudulent job evaluation to the Health and Hospitals Corporation Inspector General was never replied to.

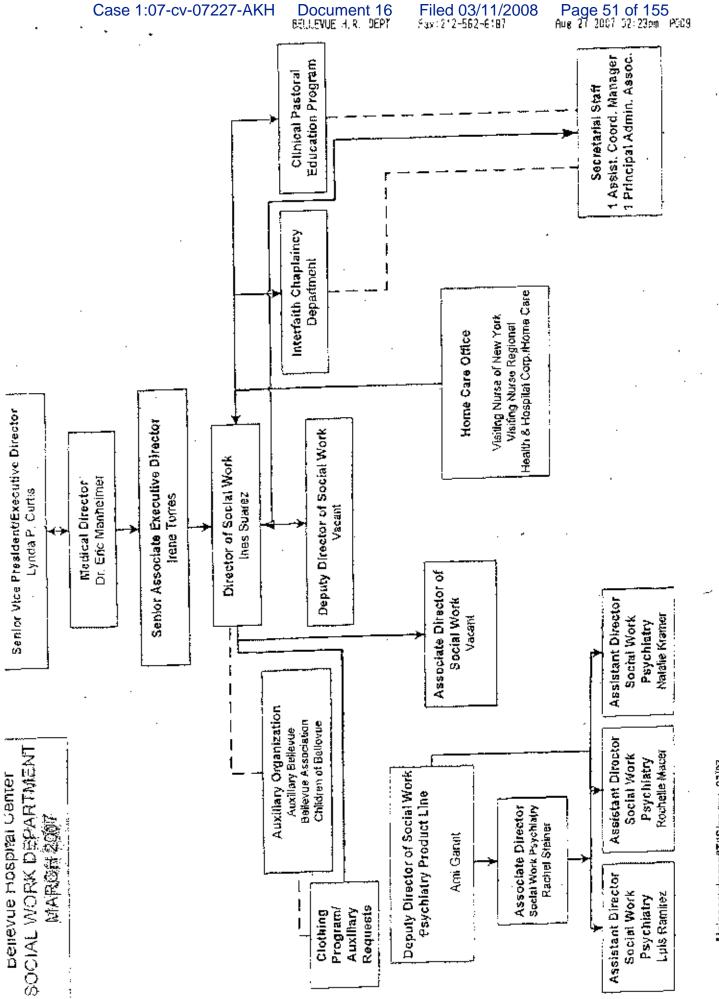
Please see attached listing of supporting documents in relation to the previous Case Charge No. 520-2007-01024 addressed to Mr. William Lai:

I feel that my position is being compromised and this long lasting situation is taken a toll on my health and my young children and wife livelihood

I appreciate your assistance in this case

Şingerely,

Carlos M. Viguera



Maineocwkrozg/IT/IS/se rev. 03/97

EEOC Parts 181 (0/99).

U.S. EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

DISMISSAL AND NOTICE OF RIGHTS Carlos Viguera From: New York District Office 1020 Grand Concourse 33 Whitehall Street Bronx, NY 10451 5th Floor New York, NY 10004 On behalf of person(s) aggrieved whose identity is CONFIDENTIAL (29 CFR §1601,7(a)) EEOC Charge No. ESCC Representative Тегериоле Na. William Lai. 520-2007-01024 Supervisory Investigator (212) 336-3676 THE EEOC IS CLOSING ITS FILE ON THIS CHARGE FOR THE FOLLOWING REASON: The facts alleged in the charge fail to state a claim under any of the statutes enforced by the EEOC. Your allegations did not hyolvo a disebility issidefined by the Americans With Disabilities Act. The Respondent employs less than the required number of employees or is not otherwise covered by the statutes. Your charge was not timely filed with \$500; in other words, you waited too long after the date(s) of the alleged discrimination to file your Having been given 30 days in which to respond, you failed to provide information, failed to appear or be available for interviews/conferences, or otherwise failed to cooperate to the extent that it was not possible to resolve your charge. While reasonable efforts were made to locate you, we were not able to do so. You were given 30 days to accept a reasonable settlement offer that affords full roller for the harm you alleged. X The EEOC issues the following determination: Based upon its Investigation, the EEOC is unable to conclude that the information obtained establishes violations of the statutes. This does not certify that the respondent is in compilance with the statutes. No finding is made as to any other issues that might be construed as having been raised by this charge. The EEOC has adopted the findings of the state or local fair employment practices agency that investigated this charge. Other (briefly state) - NOTICE OF SUIT RIGHTS -(See the additional information attached to this form.) Title VII, the Americans with Disabilities Act, and/or the Age Discrimination in Employment Act: This will be the only notice of dismissal and of your right to sue that we will send you. You may file a lawsuit against the respondent(s) under federal law based on this charge in federal or state court. Your lawsuit must be filed WITHIN 90 DAYS of your receipt of this notice; or your right to sue based on this charge will be last. (The time limit for filing sud based on a state claim may be different.) Equal Pay Act (EPA): EPA suits must be filed in federal or state court within 2 years (3 years for willful violations) of the alleged EPA underpayment. This means that backpay due for any violations that occurred more than 2 years (3 years) before you file suit may not be collectible. On behalf of the Commission Enclosures(s)

BELLEYUE HOSPITAL CENTER
Ms. Shirley Facey, EEO Coordinator
562 1st Avenue
New York, NY 10016

car

April 16, 2007

Carlos M. Viguera 1020 Grand Concourse Apt. 4R Bronx, NY, 10451 Cell 917-327-0541 Home 718-293-8976 212-562-2253

U.S. Equal Employment Opportunity Commission New York District Office -520 33 Whitehall Street, 5th Floor New York, NY, 10004-2112 Mr. William Lai, Supervisor Investigator

Re: Case Charge No. 520-2007-01024 Detailed description and Evidence Documents

Dear Mr. Lai:

In Accordance to our conversation on April 11, 2007, you asked me to submit evidence documents to assist you in determining whether this case will proceed to be investigated or not.

First of all, I want to make clear that since I filed the original EEOC case 16G-2004-09174 for discrimination, sexual harassment and physical assault against NYC-HHC-Bellevue Hospital Center, I had been targeted for retaliation, harassment and discrimination. These retaliation abuses continue to the present.

I also want to make clear that there was no justice done on my behalf on the original EEOC case # 16G-2004-09174. After this case was basically on my favor because of the discrimination,

explanation. (Please see documents/exhibits # 4, 5 and 6 indicating my removal from the Grant Reporting Department where I functioned as a Financial/Grant Analyst, placement in the Business Development Department. And removal from the the Business Development Department to the Cultural Competency Dept. as soon as Mr. Carlos Perez, Executive Director, resigned.)

B. In the Cultural Competency and Interpreter Services Department I worked from June 2005 to the present time. In this department, I was assigned to supervise the patient navigators, and the Interpreters; perform the entire financial functions; conduct the language barrier survey and assist the Director, Ms. Irene Quinones in all her troubleshooting requests. Although I was doing a great job, Ms. Quinones and top management were always retalisting, harassing and discriminating me. I am a professional with 30 year of financial and administration working experience in the private and public sector. I also have an MPA degree from NYU. There is no reason why I should be discriminated and abused. (Please see exhibits 7 thru 16, depicting my resume, degree, letters of recomemdation, and various posivite feedback emails I received while functioning as assistant director of the Cultural Competency Department.)

In this department Ms. Quinones will yell at me, Scold me and put me down in front of my colleagues and subordinates. She continuously called me in her office to verbally abuse me. Must of the time she was very upset at me without any reason. I asked her to stop harassing and discriminating me. I noticed that she did not treat the female employees that way. She informed me that I asked her to stop harassing me because she was a woman and I was Man. She proceeded to show me how tough she was by shaking her head and pointing her finger at me in the privacy of her office. During this, I was getting sick to my stomach and losing weigh. Then, I

Social Work Department continue to harass me. The harassment function was passed to Ms. Nurse-Reeves. Every after noon at 3:30, Ms. Sybil Reeves will meet with me to harass me. She was very rude and unprofessional with me. She pointed at my face with a pen and talked down to me. Management was not sure of the survey's objectives that I was performing, and kept changing the requirements. Ms. Reeves looked for every possible and insignificant details to degrade my work. She also stalked me and harassed me wherever she saw me in the hospital premises. Ms. Nurse - Reeves resigned on March 8th, 2007. It is unclear who I am reporting to now.

D. Because I filed a discrimination and harassment case against Bellevue, Bellevue is discriminates me and is targeting me. Approximately, nine months ago, I submitted a rebuttal to the performance evaluation, and I haven't received any responses. Eight months ago, I submitted a complaint to NYC-Health and Hospital Corporation (HHC) Office of the Inspector General complaining for the generation of a fraudulent document (my Performance Evaluation). I haven't received any response or acknowledgement that they received my documents. In my struggle to get out of this stressful situation, I sent out resume to apply for jobs in other departments or different HHC facilities, but I do not receive any interviews although sometimes the openings has my title. (Please see exhibits # 29, 30 and 31. They depict cover letters I sent out to compete for open positions within Bellevue and others HHC facilities. I never received a response or an interview.)

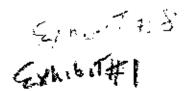
I thank you for your time.

Sincerely,

Carlos M. Viguera

April 14, 2005

Setira E. Simmons 212.562.7075



To Whom It May Concern:

This letter is in reference to and support of Carlos Viguera. At the time of his incident, I was working across the corridor and directly across from Maria del Pilar Ruiz, Mr. Viguera's supervisor. Let me be clear in making this statement. Maria did not knock on the Unisex bathroom door. I was on my way to the Xerox room and in the hallway at the time. I saw Maria working the lock, I am not sure what she had in her hand or what was on her mind, but once someone is in the bathroom and lock the door behind them, no one can open it with the key. I went on to Xerox and on my way back to my office, I saw Maria coming out of the bathroom and Carlos was behind her.

Please see my letter dated February 17, 2004 attached. If you have any questions, you may call me at the above number.

Sincerely.

Setira E. Simmons

Setional Simmons

Filed 03/11/2008 fax:212-532-6137

Page 57 of 155 Pbg 27 2007 02:24pm P015/003

February 17, 2004

Setira E. Simmons 71 Columbia Street, #3J New York, NY 10002

Exhist#2

To Whom It May Concern:

This letter is in reference to and support for Mr. Carlos Viguera. I work across the corridor of Edie Coleman and directly across from Maria del Pilar Ruiz, Mr. Viguera's supervisors. On almost a daily basis, I have witnessed the verbal abuse from Maria toward Carlos. She has yelled and humiliated him in the hallway instead of stepping into an office and talk with him privately. It is as though she has venom and hatred roward him and puts situations on a personal level and not a professional one.

On many occasions, Edie and Maria has called Carlos into their offices and yelled at him so loud the entire fifth floor could hear them, even with the door closed. Please note that most times, Ms. Pilar-Ruiz acts under the direction of her supervisor, Ms. Coleman. As senior supervisor, Ms. Coleman, should deal with Mr. Viguera on a one on one basis. Instead, they choose to gang up on him together, with each one taking turns chastising him.

On January 15, 2004, I walked out of my office and saw Maria coming out of the bathroom. One second later, I saw Carlos come out of the bathroom. I said to myself "Oh my God what the heck is Maria doing in the bathroom with Carlos?" Maria actually walked into the bathroom while Carlos was in there. Fortunately, we do not live in a primitive era and what one does in the bathroom is PRIVATE. I am certain that what Ms. Pilar Ruiz had to a say to Mr. Viguera could have and should have waited. Absoutely nothing warranted Maria to infringe on Carlos' privacy and follow him into the bathroom.

Lastly, Mr. Viguera happens to be the only male employee in the Grants Reporting Department and in no way should endure the cruelty displayed by these two female supervisors. Male or female, no one in the work force should suffer this constant kind of treatment.

Sincerely,

Setira E. Simmons

Bellevue Hospital Research Department

sword to me

55/080

3004

ExhibiT# 3

March 31, 2004

To Whom It May Concern,

This statement is regarding the incident that took place on March 11, 2004 in the Grants Reporting/GL Department. On the afternoon of Thursday, March 11, 2004 I was in my cubicle working at my desk. Ms. Ruiz had walked over to Mr. Viguera's cubicle to return his report to him and ask him to not remove the staples from the report. Then I heard Mr. Viguera repeating to Ms. Ruiz "Don't wave that report in my face". He asked Ms. Ruiz to not talk to him in that way since he did not talk to anyone in the department in that way. She stated to him to sit down. She was upset with him and she said to him "You are not a man!" Then she went on to say "I have nothing to lose, you do!" Later she stepped out to the ladies room. Upon her return I heard Mr. Viguera exclaim, "You hit me, I'm calling the police". Ms. Ruiz mentioned that that claim was ridiculous since the space to walk pass to her cubicle is so narrow that some contact was unavoidable. In the next moment, Mr. Viguera was on his cell phone calling 911.

Sincerely,

Yesenia Torres

Associate Accountant

Exhibit #4

Carlos M. Vigueta, MPA Sr. HCPPA, Outreach Office of Business Development



Bollevue Hospital Certier Bouch Manhatten Healthcare Halwork

Pirst Ave. 8 27th Ot. New York City. NY 10016 tel 212,662,2253 fox 212,562,4622 carlos.rigoera@balleyos.mychho.org

New York City Health and Hospitals Corporation

After MR. Carlor Pertz, Resigned

I was Revious From The About Position

From:

Terry Miles

To:

Viguera, Carlos

Date:

6/21/2005 9:43:36 AM

Sublect:

Fwd: Re: Carlos Viguera

Carlos, I meant to co you on this.

>>> Terry Miles 06/21/05 9:41 AM >>> I don't think we need to meet.

- Re-ASSIGN MENT PROPERTY TO 18 MPS PARISITY 5 1. This is a PILOT. NO definites are in place until we assess the outcome of how things work.
- However, this is a very important pilot that will set the stage for a new Navigator program at Believue.
- The pilot will help determine how the new Navigator program will work, how many staff are needed. what days/hours they will be in place, etc.
- 4. We DO NOT know at this point who the supervisor will be or generally how the administrative aspects will work or where they will report (Amb Care?, Info Desk? etc.)
- For now, Carlos will report to Irene and she will oversee his timesheets, days off, etc.
- There will NO? be any transfer of staff lines at this point.
- Carlos will maintain his current office.
- He is expected to be the "face of Bellevue" and for the most part be out and about. But will be helping. to coordinate the current Navigator program and will need office time. In addition he will be assessing how long a Greater should and could be on his/her feet.
- 9. Right now it is awkward to assess since we have 3 entrances—the Rotunda, the 1st Ave new building entrance and the south side new building entrance. Once we have only one entrance (on or about July 5th), this will all get easier.
- 10. Carlos should use the desk at the 1st Ave new building entrance for now for rest times, etc.
- 11. Carlos, we are very grateful to you helping us to assess a new Believus program. You have getten very positive feedback from patients and staff about your friendliness and willingness to be so involved in the Navigator program.
- I hope this answers all concerns. Thanks to all.

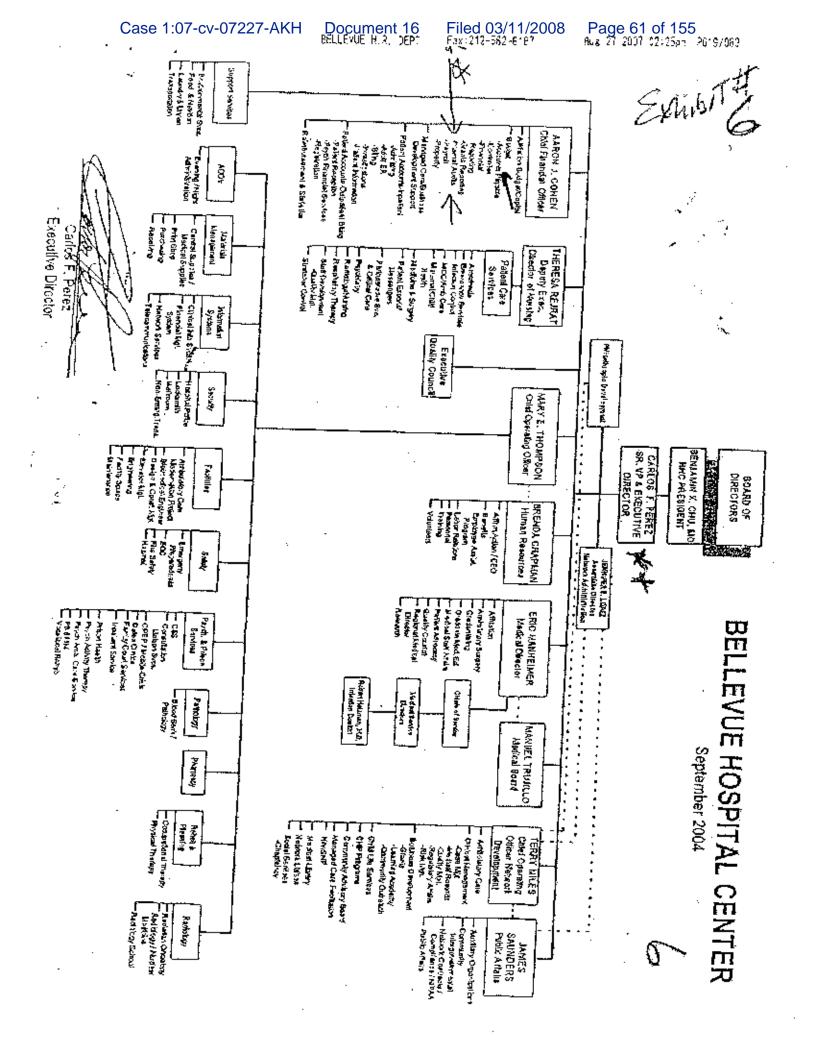
>>> Irene Quinones 06/21/05 9:23 AM >>>

Hello.

I am allttle confused about Carlos' role and assignment in relation to the navigators' program and the future post navigators. I don't went to give him misleading information. However, I need some more clarification before I discuss further his job assignment, future, etc. Let's meet to discuss. Thanks, Irene

CC:

Bateman, William: Davis, Edith; Quinones, Irene



Carlos Viguera - RE: Open Balance

From: "Benjamin, Beverly" &benjamin@fegs.org>

To: "Carlos Viguera" < Carlos Viguera@bellevue.nychhc.org>

Exhibit 7

Date: 8/23/2006 12:36 PM Subject: RE: Open Balance

CC: "Spruill, Tracey" <tspruill@fegs.org>

Carlos,

Sorry I didn't get back to you sooner. I was on vacation and have been playing catch up.

I'm sorry you aren't handling my account any more. You were able to get the payments through quickly. I work with several hospitals and agency and I must admit you are one of the most professional people I have dealt will.

I hope you new assignment is fulfilling.

Thank you, and take care

Beverly

-----Original Message-----

From: Carlos Viguera [mailto:Carlos.Viguera@belleyue.nychhc.org]

Sent: Friday, August 11, 2006 4:07 PM

To: Benjamin, Beverly Subject: Re: Open Balance

Hi, Beverly Please give a call on 917-327-0541

Carlos

>>> "Benjamin, Beverly" <bbenjamin@fegs.org> 5/4/2006 11:55 AM >>>

<<Bellevue - 5.4.06.xis>>

Beverly

EXHIBIT # 8

August 11, 2006

Dear Sir or Madam:

In the summer of 2005, I had the opportunity to work under the supervision of Mr. Carlos Viguera as a Client Navigator at Bellevue Hospital Center. The monumental task of directing and escorting all sort of visitors to their destination would not have been accomplished without the unsurpassed and professional leadership of Mr. Viguera.

Carlos, as we all called him was professional and pleasant at all times. His tact and ability to deal with people and problems are remarkable. He is a natural leader who possesses the ability to grasp what is wanted and needed. His strong work ethics and positive attitude led our team in unison and pride. Mr. Viguera always dealt and resolved all unforeseen challenges with misn quiet competence and aplomb. All these are undoubtedly Mr. Viguera's trademarks.

I strongly believe that Mr. Viguera can successfully lead any group or team in accomplishing their tasks. Should you have any questions, I can be reached at 212-562-4517. Thank you very much.

Louis Montes De Oca Simultaneous Interpreter

Temis

Filed 03/11/2008 Fax: 712-582-5187

Page 64 of 155

Aug 27 2007 02:25pg P022/063

August 14th, 2006

Exhibit #9

To Whom It May Concern:

My name is John Lizcano. I used to be part of the interpreter's services at Bellevue Hospital Center. During a short period of time, Mr. Carlos Viguera was selected by Irene Quinones to be the direct supervisor of the interpreter's services. As a supervisor, Mr. Viguera was always respectful to me and always treated me well. When I was going through a rough time at work, Mr. Viguera was there to support me and advise me. Also, when I had problems at work because I was asked to leave the interpreter's services within two weeks when I was in the transition period of going to another job, Mr. Viguera was there to help me and he intervened on my behalf, so I could stay with the interpreter's services until I was actually ready to go onto the other job that I had been offered. I have no complains against Mr. Viguera. Throughout the time I was there, I never had a problem with him.

Sincerely,

John Lizcano

Carlos Viguera - Re: Sign lang. Appoint. for 5/15/06

From:

Irene Quinones

To;

Viguera, Carlos

Date:

5/15/2006 8:48 AM

Subject:

Re: Sign lang. Appoint, for 5/15/06

Exhibit#10

Very nice. Do we have appointments for the rest of the week?

>>> Carlos Viguera 05/12/06 3:56 PM >>> Irene, FYI see attachment.

Carlos

Fex: 212-562-616?

Page 66 of 155 Aug 27 2007 02 24pg P525/057

- RESUME CONTINUE -

CARLOS M. VIGUERA 1020 Grand Concourse, Apt. 4R Bronx, NY, 10451

Fixed Manager and Assistant Administrator 09/87 to 12/88 MONTEFIORE MEDICAL CENTER - Family Health Center

Responsible for the entire range of financial functions and the administration of the clinic. Duties included preparing financial statements, and the direct supervision of the billing, medical records and the patient registration staff; assisting in the management of the health center.

Senior Financial Manager 09/72 to 06/87 CHASE MANHATTAN BANK

(Promoted to Accounting Officer 6/83)

Controller Division

Responsible for the management and supervision of project team to include the entire range of manual and automated products costing, profitability, work measurement and productivity studies. Duties included preparing financial reports, and functioning as an internal consultant to assist management in the decision making process concerning pricing, strategic plauning, profitability, expense reduction, new products and business acquisition.

RELATED EXPERIENCE:

Legal Assistant

12/88 to 05/89

GODDARD RIVERSIDE LAW PROJECT

Functioned as a Legal Assistant to the lawyers staff. Responsible for supporting the clients in the housing legal process.

EDUCATION:

Master in Public Administration, Management, New York University, 1994

Bachelor in Business Administration, Pace University, 1983.

Certificate in Computer Systems Design, Pace University, 1981

Associate in Accounting, BMCC, 1972

Cost.

Filed 03/11/2008 Fax: 212-562-6187 Page 67 of 155 Bug 27 2007 02:28:m P026/083

CARLOS M. VIGUERA

1020 Grand Concourse Apt. 4R Bronn, N.Y. 10451 (B) (212) 562-2253 (H) 718-293-8976 (Cell) 917-327-0541 CarlosViguera@juno.com Exhibit#12

PROFESSIONAL EXPERIENCE:

Assistant Administrator 3/98 to present

NYC HEALTH & HOSPITALS CORP. - Bellevue Hospital Center

Responsible for supervising the Patient Navigators, and Interpreters; monitoring the budget; performing the outreach function in the Latino Community; participating in health fairs to provide health care services, assess the community needs and to promote the services of Bellevue Hospital Center; Performing cost/benefits analyses.

Senior Financial Manager

Responsible for conducting financial analyses, and improving the Hospital operations. Duties included reviewing the hospital operations; conducting internal audits, generating grant expenditures reports; maintaining the cost accounting system and promoting its potential uses.

Fiscal Manager and Assistant Administrator 03/98 to 3/98

NYC DEPARTMENT OF HEALTH. Family Health Services Diagnostic & Treatment Center
Responsible for assisting in the development and implementation of the Medicaid, Third Party and
Self-Pay billing systems and revenue management. Duties included revenue enhancement and
projections; performing profitability and productivity analyses; designing and developing financial
and clinical reports.

Communicare Health Center Program

Responsible for assisting in the implementation and management of the seven clinics network. Duties included developing and monitoring the expenditure and revenue budgets; performing the producement function; developing contract specifications, supervising the billing staff and interacting with the New York City Fiscal Agency, vendors and other departments; assisting in the management of the Health Centers.

Senior Financial Manager 5/89 to 03/92

NYC HEALTH & HOSPITALS CORP. Central Office -Finance Division

Responsible for coordinating, developing, analyzing and monitoring \$548 million budgets of four municipal hospitals. Duties included performing variance, trend analyses, special projects and interacting with the hospitals Budget Directors.

Central Office- MIS Department

Responsible for assisting in the implementation of the cost accounting system in the eleven municipal hospitals. Duties included functioning as the basison, reviewing the hospital operations, validating the services/products costing methodology, implementing modifications: conducting financial, case mix utilization, DRG activities analyses, and promoting the system and its potential uses.



MANUFACTURERS HANOVER TRUST COMPANY

\$76 PARK AVENUE, NEW YORK, N.Y. 10017

JOHN R. MOGILLICUDOY CHAIRMAN OF THE BOARD CHIEF GAECATIVE DENGER

June 19, 1984

Dear Carlos,

At the most recent meeting of the Personnel Policy Committee, you were elected a Trust Officer. It is my pleasant duty to inform you of this action and to welcome you to the official staff.

You are to be congratulated upon this recognition of your accomplishments. Best wishes for continuing success.

Mr. Carlos M. Viguera Trust Officer Manufacturers Hanover Trust Company 600 Fifth Avenue New York, NY 10020

Arm Nouth Anibersity

The President, Professors, and Truskes of

Ca all persons to whom this writing may come, Greeting:

completion of the requisite course of study in just a constitue of the successful Aobert I. Wagner Gruduate School of Public Service

by virtue et authority granted us by charter of the State of New York

Carlos M. Viguera thedegreest

Master of Public Hoministration

routlegen and immunities thereunto jy





BELLEVUE HOSPITAL CENTER

First Avenue at 27th Street New York, NY 10016 Tel: (212) 562-4141

Exhibit # 18

<u>MEMORANDUM</u>

April 23, 2001

TO:

Pierre DuBose Controller

From:

Barry Schwartzman A Supervising Systems Analyst

Subject:

Carlos Viguera Temporary Assignment To Grants

As you are aware Carlos Viguera was temporarily reassigned to my department; Grant Reporting from the Internal Auditing department on December 15, 2000. I will be leaving the department effective April 30, 2001.

For your information, Carlos performed in an outstanding manner and maintained the highest level of performance during this period of crisis and rarely needed direction after an initial explanation. He demonstrated outstanding ability when assuming unusually demanding responsibilities and has always carried a heavy workload.

cc: C. Byrne

C. Viquera

STEESS-Roberton CL Exhibit # K

From:

William Bateman Viguera, Carlos

To: Date:

3/28/2005 5:10:07 PM

Subject:

Fwd: Presentation @ ECC

Nice work Cartos. This is great feedback, not easily earned, if you can bring the same level of interest to the overall Wellness at Work Program, "Healthy Works", then we're really moving. Barry

William B. Bateman, M.D. Director, Business Development Office South Manhattan Healthcare Network Phone: (212) 562-4541

Phone: (212) 562-4622 Fax: (212) 562-4622

>>> Shelly Mazin 03/28/05 2:26 PM >>>

Dear Carlos, on behalf of the Environment of Care Committee I want to extend our thanks for the wonderful presentation you did at the meeting this morning. As you certainly know very well stress in the workplace is becoming a serious challenge and has an impact on quality of life for employees, on illness, injury and absenteeism rates, loss of productivity, and ultimately has the potential to affect the quality of patient care. Your efforts to bring stress management stategies to all of us is just wonderful. I got many favorable comments from committee members and we all found your presentation informative and helpful. The techniques you demonstrated can be effectively incorporated into daily life both at work and at home with just small amounts of time. Your enthusiasm for the subject was evident and I think projected to many people on the committee.

I know that I will try to put your lesson to work for me and the more people who do the greater the impact will be.

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New York Control of the

Again, much thanks for sharing your time and expertise.

Shelly Mazin Safety Director Chair, ECC

CC;

Davis, Edith

Case 1:07-cv-07227-AKH Decument 16. Filed 03/11/2008 Page 72 of 155

Cartos Viguera - Re: Irene's abuses

From:

"Terry Miles" <Terry.Miles@bellevue.nychhc.org>

To: Date: <cados/iguera@juno.com> 12/19/2005 8:52:21 PM

Subject: Re: Irene's abuses

TERMY RASPONSIE

I am surprised by this turn of events Carlos. Please be patient a bit longer. I am in the throws of the potential strike working crazy and long hours. Will be here again all night assuming there's no strike settlement. I will meet with Irene as soon as possible, and then all of us if need be after that.

>>> carios m Viguera <carlosviguera@juno.com> 12/19/05 6:49 PM >>> Hi, Terry

I really thought about this before doing, but really can not tolerate abuses any more.

Although I work very hard every day, I come home hurt needlessty.

Some of my accoptishment:

- * The Navigator project in the New Amb. Care Building was a success.
- * The Meeting to Introduce NYC Students Navigator to Believue was a success
- * Increase Productivy and Morale Improvement in The Interpreters Program, which I am supervising, performing every supporting function.
- * The financial function and bill paying is adequate in the Cultural Competency Dept.
- * I contributed to the success of the Hispanic Heritage events.
- st I am conducting the completion of the the Language barrier. Survey in Bellevue,
- * Recruiting and Coordinating training for new Asian, Polish, Bengali, and Hispanic Interpreters.
- * I am support Irene in every little detail, errands, and new assigments she gives me.
- * remember I am a Union Member. My time is 9 to 5. However, I am working 8 to 6 most of the time without additional time off or compensation.

The things is that the interpreters and I am having fun with this work.

Why then, I have to be humilated every day and sometimes in front of people.

She harsh and insensative; does really try to understand, and come accross very moody and emotional. I think Irene really see me as a threat or a competitor. Things are really escalating every day.

Terry, you, Irene and I have to speak together so that she does not campromise my position and and do not want to make a mistake and compromise my position.

I can not work under this condition.

I thank you for yout time

Carlos

Carlos Viguera - Fwd: Reporting Change

From:

Terry Miles

Ta:

Viguera, Carlos

Date:

2/21/2006 10:21 AM

Subject: Fwd: Reporting Change

CC:

Joubert, Minerva

Good morning, Carlos. FYI on the attached. The below email was sent to administrators on Friday. In all likelihood you will not be reporting to Irene much longer. Give me a bit more time to settle things out given this reporting change. Hang in there.

>>> Terry Miles 02/17/06 6:02 PM >>>

Effective Tuesday, February 21st, Irene Quinones will report to Irene Torres. Irene Q will take on added responsibility in the QA oversight of Case Management, plus continue to have responsibilities related to LEP, Cultural Competency and Patient Advocacy.

Please join me in supporting both Irene's in this transition.

Carlos Viguera - Re: Terry Please don't forget me

From:

Terry Miles

To:

Viguera, Carlos

Date:

3/31/2006 5:31 PM

Subject:

Re: Terry Please don't forget me

CC:

Joubert, Minerva

Hi Carlos. Thanks so much for your patience. I have been pursuing a few potentials and should know more definitively next week. Please be in touch by week's end if you have not heard from me beforehand. I have copied Minerva so she is aware. Have a great weekend.

Terry

>>> Carlos Vigueira 3/29/2006 12:00 PM >>> Hi, Terry

I am waiting patiently, but feeling somewhat insecure about my position. When will I be place in a permanent spot?

Thanks

Carlos

4.03/11/2008 Page 75 of 155 FOR 10/6/03

Draft 10-5-05 Bellevue Hospital Center Functional Job Description Cultural Competency & LEP Program

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- 1. Recruitment and supervision of Temporary Navigators Work with Prompt Temporary Agency for hiring temporary Navigator Staff
 - Interview and Screen candidates for appropriate skills
 - Create schedules and assignments for Navigators
 - Troubleshoot problems with assignments, issues as they arise.
 - sign time sheets and make sure hours reported are correct

(Note: As of today it is unclear if the Navigators Program will continue. Hospital Leadership will make a determination. If the program changes either through elimination or if it changes from temporary to permanent, this job function will also change.)

- 2. Track and monitor requisitions for Prompt Agency
 - Track status of requisitions for Prompt Agency
 - Track and insure that a P.O. has been generated
 - Work closely with Accounts Payable and Finance/Budget unit to monitor and track budget and payment process for Navigators
 - Prepare and submit time sheets for payment of program participants
- 3. Collect and monitor data for Navigators
 - Track daily encounters
 - Track language demand
 - ·- Create excel spreadsheets
 - Interpret data
- E-Commerce
 - Track and monitor requisitions for E-Commerce

Date: 10/6/05

5.	Work wit	h Immigrant	Advocacy	Group	(Temporary)	ŀ
----	----------	-------------	----------	-------	-------------	---

- Work with IAG for scheduling and assignment of Medical Interns
- Insure Orientation with appropriate Departments
- Insure information provided is adequate
- 6. Assist with Interpreter coverage and medical clearances
 - Provide coverage as needed (Telephone, assignments, metro-cards, etc.)
 - Assist with obtaining medical clearances for new recruits
- 7. Act as liaison for Cultural Competency & LEP Program Interpreter program and all other departments throughout the facility
- 8. Assist Director with requests as they arise.

I have received a copy of this job description and understand that I am responsible for, and will be evaluated on the duties contained herein.
Employee: Name: Coly le Viguesignature Coly le Vigues
Date: 10/6/05
Supervisor: Irene Quinones Signature: Que Quinin

Exhibit 21

Bellevue Hospital Center Functional Job Description Cultural Competency & LEP Program

Department: Cultural Competency & LEP Reports to: Irene Quinones
Reports to: Ireas Quinques
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understand that I am responsible for, and will A lignature along he Viguer
·
Signature: Purk Quiver
·

Job Description Client Navigator

Under supervision, performs client navigating related duties.

Meet and greet patients who come to Bellevue Hospital seeking services;

Assist patients in a culturally sensitive manner and address barriers, i.e., language, needing services, i.e., appointments, Billing, in accessing all services.

Help patient with information, questions, and understanding how to obtain hospital services;

Help patients reach the destinations, i.e., ambulatory care clinics, administrative services, inpatient services, pro-surgical testing site, labs, etc.

Obtain wheelchairs for patients who have difficulty walking;

Assist patients who require interpreter services, by obtaining a qualified trained interpreter, either through the Volunteer Interpreter Office, TEMIS, or Language Line.

Provide coverage for other client navigators when called to do so;

Maintain logs of patient encounters and types of encounters;

Perform duties as requested by Sr. Associate Director, i.e.,

Language Barriers Surveys - telephone calls

Patient Satisfaction Surveys - Inpatient, Outpatient, AES

Others i.e, Social NORK PROJECT I @ 2/8/0

Carlos Viguera

SrHCPPA

Irone Quinones

Sr. Associate Director

7.1.1

Date

Carlos Viguera - 30-day Readmission project

From:

Sybil NurseReeves

To:

Carlos Viguera

Date:

3/2/2007 2:24 PM

Subject: 30-day Readmission project

CC:

Irene Torres

Good afternoon Carlos.

This is a follow-up to our meeting yesterday (3/1/07). The following was discussed:

Your schedule which is as follows:

8:00 AM - 9:00 AM - Providing Navigation Services

9:00 AM - 12:00 Noon - Conducting the 30-day readmission survey

12:00 noon - 1:00 PM - Lunch

1:00 PM - 2:00 PM - Imputing data collected from 30-day readmission survey and follow-up telephone SULVEYS:

2:00 PM - 4:00 PM - Providing Navigation Services

You will have access to print the daily 30-day readmission report (preciously provided by Tiffany Cho and/or Cynthia Grant)

I made the request via MIS the ticket # is 112009. You will be responsible for printing out the daily report. to conduct the

survey.

You will be responsible for inputing the data collected from the survey. The program on the QM Server will

your computer. I contacted MIS and made the request. The ticket # is 111999. You can contact Paul Ridikis x 2442

if you have any questions regarding the program. Paul designed the program.

** Sylvia Elias will continue to imput data collected thru Friday, 3/2/07, then you will responsible for inputing the data.

Please do not hesitate to contact me if you have any further questions.

Thank you, Sybil

with

NEW YORK CITY HEALTH AND HOSPITALS CORPORATION HUMAN RESOURCES POSITION DESCRIPTION

The same of the sa					
Position Title		25the Code(s)	Effective	Reissued	Rovini
Senior Health Care Program Planner/Analyst			12/29/95		
	Lovel A Lovel B	000320 000330			
				,	

Purpose of Position: - --

This class of position encompasses managerial responsibilities of various degrees of complexity and difficulty with varying degrees of latitude for independent initiative and judgement in health care program planning, analysis and implementation.

Plans, designs, analyzes and ovaluates total programs and systems relating to the delivery of health care services; implements these activities dirough consultation, liaison and analytical functions; may supervise and coordinate work of subordinate staff.

There are two (2) assignment levels to differentiate ascending order of assignment difficulty with corresponding higher pay levels.

Examples of Typical Tasks:

Assignment Level A

Under general supervision with wide fatitude for the exercise of independent initiative and judgement performs some of the following functions.

- I. Develops plans and approaches and identifies key elements of study of Health Care Planning,
- Designs related studies such as, but not limited to: (a) Feasibility of health care 2 delivery systems and resources analysis; (b) Content and standards for professional components of projects; (c) Affiliation relationships: (d) Socio-economic and demographic data: (e) Public policy and Legal restraints.
- 3. Perticipates in the development of criteria for research studies and develops validity and reliability measures, and makes recommendation for further research.

Page 1 of 4

HHC4PE/9549

#2

NEW YORK CITY HEALTH AND HOSPITALS CORPORATION COMMON DESCRIPTION

Position Title	,	Title Code(s)	Effective	Retirued	Revisio
Senior Health Care Program Planner/Analyst			12/29/95		
	Level A Level B	000320 000330			

Examples of Typical Tasks (continued);

- 4. Represents the cost center and maintains liaison with key health facilities personnel, community representatives, governmental and health care agencies for the purpose of achieving optimum effectiveness of the planning process.
- Serves as resource to other cost groups (i.e. Finance, Operation and Medical and Professional Affairs) for interrelated problems concerning ambulatory care and community health.
- Develops strategies and alternative approaches for improvement of specified health care programs and assists the Director in assessing existing resources and determining priorities.
- Designs evaluation procedures by which cost effectiveness and program efficiency can be determined; and directs evaluation audits.
- Supervises subordinate personnel, assigns work, evaluates performance, guides and furnishes direction.
- Provides technical/professional assistance to operational facilities by: development of training materials and/or sessions; and the preparation of policy statements, interpretive bolletins, implementing procedures, and through consultations.

Assignment Level B (This level for Central Office Only)

Under direction with the widest latitude for the exercise of independent initiative and judgement, performs some of the major duties described under Level A; and

Page 2 of 4

EDICAPPEASAS

#2"

NEW YORK CITY HEALTH AND HOSPITALS CORPORATION HUMAN RESOURCES POSITION DESCRIPTION

The state of the s	(4)Co				
Position Title		Title Code(s)	Effective	Rebsued	Revisio
Section Health Care Program Planner/Analyst			12/29/95		
4	Level A	000320	i	ł	Ĺ
<u></u>	Level B	600320	<u>.</u>		!

Examples of Typical Tasks (continued):

- Organizes and coordinates the establishment of health care planning projects: initiates
 the development of conceptual and analytical prototypes.
- 2. May direct and coordinate the activities of an organizational unit(s) concerned with one or more aspects of health care program planning, directs staff, sets unit priorities, evaluates work and provides on-going guidance to subordinates.
- Develops channels of communication with such corporate managerial personnel, community groups and representatives of governmental and health care agencies as are required to maximize planning activities.
- 4. Provides high-level analytic and administrative support to resolve complex coordination of itselfs care services, with an emphasis on the issues, problems, and general modes of operation of Corporation activities which impact, either directly or indirectly, on planning, implementing, developing, and evaluating such services.
- Directs evaluation studies and program performance audits; and their acceptance and/or adoption.
- Sets the overall standards for research and investigating uriterion.

Qualification Requirements:

A Baccalauream Dogree from an accredited college or university in Business
 Administration, Engineering or Social Sciences, Health Care Specialization, Physical
 Sciences or related programs; and

A minimum of four (4) years of progressive, responsible experience related to Health Care Program Planning, Research, Design, Operation, Evaluation and Analysis: or

Page 3 of 4

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Filed 03/11/2008 Fax: 212-562-2187 Page 83 of 155 Aug 27 2007 02: 2805 PS42/053

NEW YORK CITY HEALTH AND HOSPITALS CORPORATION

	EMPL	<u>OYEE PERFO</u>	CAMPAINGE E	MEDMINO	
Employee Name - La					ee I.D. No.
8.	•			i Zingoloye	
Viguera, Car	los			ļ	070-42-9618
Tide		Date Appointed to	Tibe	Unit	- 1,
Sr. HQ		3-	22-93	Cultural	Competency/LEP/CAss Mangen
Period Covered by this Evaluation Type of Evaluation			3		
From: June 30,	2005	Promoton	Annual -		
July I,	2006	Probation .	∐ Bat ^{st.}		
Evaluation Prepared B		-	Title		
Irene Quinones			ـــند عا	W	
	<u>.</u>		J	iate Director	
Job Assignment:	Briefly describe ma	jor tasks of employ	rea in order of i	mportánce – not r	more than five items)
2. Track and mor former patient na 3. Supervise Inter	vigat <mark>ers</mark> progra	un.)	_	·	;
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HHC 2454 (r Aug 95) Replease HHC 20-29 (R Feb 78)

			· 1	 -
QUANTITY OF WORK		X	<u>[]</u>	
Consider amount of wor produced under normal conditions and extent to which employee meets expected deadlines.	Amount of work	Amount of work completed sometime below average	Tums out good volume of work	Consistently turns out very large volume of work
	İ			
	to: "Too many things to d	r completing make in a timely fac o.* On some occuping by allysia i. Mr. Viguera was asked to disc	d to the stress class he was teach	siters to comply with time lines ting on Thursdays at Ipm for hi
RELATIONS WITH PATIENT/PUBLIC				X
Consider whether employee is courteous, sensitive to feelings and interests of patients and/or public	Courteous and responsive to patients and public	Ineffective or inconsiderate in dealing with patients and public	Unusually effective in relations with patients and public	Occasionally tactiess or uncooperative
	Comments: Mr. Viguera has dil perceived as rude al interact or work wir	Moulty communicating was duaprofessional. Constheting.	ith other key employers equently other employer	. His interactions are as are reluctant to
JUDGMENT.	X			
Consider ability to make decisions and to use resources to best advantage. Does employee know when to seek advice?	Has some difficulty in making necessary and sound judgment	Anticipates problems and independently takes appropriate action	Generally knows what should be done and how to go about it	Makes frequent errors in judgment
	ಿಟ್ಟೇಜನ್ ಚೀನ ಮನ್ನೇಹಾಗಾಗಿಕೆ ಎ[ಪ	with follow-up and decision-up it is interpreter to the clinic, this re this and not the clinic chief. This musted to the clinic.	squired maky reminders. When	be finally did it, be introduced
ADAPTABILITY Consider the speed			×	
with which employee learns and amount of training needed to teach	Receptive to new instructions/ideas and applies them quickly	Very slow in grasping new instructions/ideas, even with constant supervision	Experiences some difficulty in grasping new Instructions/ideas without follow-up	Immediately grasps new instructions/ ideas and applies them in work
	Comments:			·
RELIABILITY	<u> </u>			
Consider ability of employee to get work out, especially under pressure and to follow m	annot be relied upon earry out assign- ents without constant odding and checking		Handles even difficult problems with fittle or no supervision	Occasionally fatts to complete essignments. Needs direction
) i		le to complete and provious given in his time sheet to do.		

Filed 03/11/2008 Page 85 of 155 Pax: 212-562-6187 Page 27 2007 02: 29pm PD44/863 BELLEVUE H.R. DEPT.

ATTENDANCE
Are absences of employee excessive? If yes, indicate the number of times absent in rating period and
what action has been taken. No
170
·
DIRECTION ITY
<u>PUNCTUALITY</u> is employee excessively late? If yes, indicate the number of times late in rating period and what action
has been laken.
NO
·
SUMMARY
I. EVALUATION CHECKLIST
Did you consider entire rating period?
b. Did you consider every task?
c. Did you determine the most important part of the job and give that greater consideration in evaluating the employee's performance?
IN SHMMARY PATING
II. SUMMARY RATING
In arriving at a summary rating, do not merely add up or average the rating of individual factors.
First determine which factors are or the greatest might be a commany ratiod. You may wish to
but consider the overall performance of the employee in order to arrive at a summary reting.
_
Outstanding
Satisfactory
Needs Improvement
Below Standard
III. If evaluation is Outstanding, Needs improvement or Below Standard, state reasons for such rating below.
Be specific, giving dates and details wherever possible. If administration
cite other factors as well, (Anach acuted as a language harriers report that was needed
For the second of the second o
The state of the s
the secretaries and retent that he constructed I he secretaries in Annual Construction
the interpretation of the interpretation of the interpretation in
condescending. Mr. Viguera claims that his failure to meet neathires are the
many things to do" and 2. "I'm busy and you're busy".

Document 16 at LEVIE H.R. DEPT.

Filed 03/11/2008

Fax:212-562-618?

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9ug 27 2007 02:29cm P045/053

PLANS FOR IMPROVEMENT

List specific areas in which development is needed and plans you suggest for developing employee.

Mr. Viguera is encouraed to keep a log of any spicific tasks be is given. If he has difficulty meeting the requirements, he should discuss it with his functiate supervisor. Mr. Viguera has to improve his communications skills when dealing with other employees. Mr. Viguera feels that failure to perform his duties is due to the failures of others, i.e., his supervisor, other employees. Me is encouraged to reflect on the above comments and take infinitive to improve his skills as they relate to communication, written and rerbal, and meeting deadlines as well as improving his overall work performance. He is encouraged to attend courses in the Urban Learning Academy on time management, writing courses, supervision, etc., Mr. Viguera is no longer supervising the Interpreter program. He is no longer responsible for E-commerce. As of June 2006 he transitioned to the Navigators Program. In addition, he will be performing other duties, i.e., Language Barriers, Patient Satisfaction and Social Work Surveys. He stiguid focus on his tasks as they relate to the Navigator program. Any issues regarding the other navigators should be addressed with his supervisor. He has been counseld by his supervisor on this and a formal counseling is scheduled for 7/28/06.

ν,		
Supervisor's Name	Title	
Irene Quinones Supervisors Signature	Sa Acco	ciete Din.
Supervisors Signature	11920	Date
Lieve Quinose	<u> </u>	7/27/06
VI. BEVIEWED BY DEPARTMENT HEAD	<u> </u>	
Signature		Date
The Iron		7/28/06
comments: Voldetive & Lepr	eneral became	se I do not
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Allow Iloghe Quirores Allow Teaple Quirores The pot fother are a I do not Agree at I I cuil white a Rel	the Anytherny	on these exaction
VII. EMPLOYEE		
My signature on this evaluation and any attachment of it, it does not mean that I agree with the ctatement been edvised of my rights to submit a rebuttal to any	nts made, nor does it waive my	right to appeal. I acknowledge that I have
Employee's Signature Daly L. Cheguera		B/2/06
Comments:		
		İ

-AKH Document 16 Filed 03/11/2008 Page 87

-ELLEWE H. R. DEPT. Fax 2: 2-562-6:87 6.48 27 2007 :

NEW YORK GITY HEALTH AND HOSPITAL'S CORPORATION Page 87 of 155 eus 27 2007 02:29ep P045/063 Case 1:07-cv-07227-AKH

Employee Name - Last First Initial

Bellevue Hospital Center (Name of Facility) EMPLOYEE PERFORMANCE EVALUATION

Бтрюуен І.В. №.

Viguera, C	arlos M.			Smployee I.D. (
litte		Date Appointed to Titl		070-42-96	18
Senior HCPP	A	3/30/98	9	Unit	T-41 • 14
Period Covered by t	his Evaluation	Type of Evaluation		ranance -	Internal Audit
From: July	1, 2000	□ Promotion	∐∓Annu:	al	
To:December	per 7, 2000	1			
Evaluation Prepared	Oh.	_ ☐ Probation		-	Ü
1	•	j Tide			<u> </u>
Christopher	_	1	ector of Int		t
JOU ASSIGNMENT A	Briefly describe major tasks of er	nployee in order of importa-	nce - not more than	the items)	
1. Flowchar	t the Accounting/Op	erational area o	ader review,	prepare f	unctional descrip
2. Prepare/	execute steps in th	e audit program	- documentin	g audir fi	ndi n gs, prepare
	rkpapers.	•			
). Make Tec	numendarions to imp	cove the Accounti	ng/Operation	nal system.	
•	ny other management				
5. Assists i	n the production of	the Audit Repor	t		· · · · · · · · · · · · · · · · · · ·
6. Functions	as Liaison Between	Bellevue Auxilt	aries and ou	itside Sudi	t firms.
INSTRUCTIONS	In terms of duties liste ch set of items, select	 			
employee. The em isolated incident. , another factor whic good.	ployee's overall perform Avoid allowing one fact th should be improved u	nance should be con	is wnich best sidered rather	describes ye than evaluati	our judgment of the ng on the basis of ar
KNOWLEDGE OF JOE Consider extent of	·	X		. T	
employee's knowledge	j		j/	· }	
of current job. Does	Exceptional knowledge	Knows what to do	Sometime		
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do and why?	·	minimal supervision	gaps in kn. /informatio		on routine matters
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QUALITY OF WORK	 	· -			
Consider ability to		X			· ·
produce work which is	[** ** ** ** ** ** ** ** ** ** ** ** **			j	IJ
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thorough, regardless of	work requires re-doing	eccurate job in all	Errors/omissi	V-71,00	ccoptable very few
volume.	_ {	respects	serious and fr	equent	rors/omissions
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Case 1:07-cv-07	7227-AKH Docum	nent 16	1/2008 Page 88 g	of 155 ::29pm 2047/063
COMMITTED WORK	2 dense 480	=_RCEPTFax:212-€62-3	x 426 2: 202: 02	. ZEPM
Consider amount of	J	ļI	F	<u> </u>
work produced under normal conditions and	Amount of work	Amount of work	Turns out good volume	: Consistently turns of
extent to which	hadequate	completed sometimes	of work	very large volume o
employee meets		below average		work a like
expected deadlines				64 21
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	Comments:			Cxhibit
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RELATIONS WITH	x			
PATIENT/PUBLIC	رـــــــ	J	 '	
Consider whather employee is courteous,	Courteous and	Ineffective or	Unusually effective in	Occasionally tactic
sensitive to feelings and	responsive to patients	inconsiderate in dealing		ог ипсоорегацие
interests of patients	and public	with patients and public	and public	i
and/or public			1	
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1	Comments:			
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THOOMENT			x	
Consider ability to make	 - 	 _	اهرا	
decisions and to use	Has some difficulty in	Anticipates problems	Generally knows what	Makes frequent error
resources to best advantage. Does	making necessary and	and independently	should be done and	in judgment
employee know when to	sound Judgment	takes appropriate action	how to go about it	
suek advice?	j		1	
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	Comments:	-, ,		····································
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ADAPTABILITY	3	1	<u> </u>	<u> </u>
Consider the speed with		ļJ	├ ┷	├
which employee leams	Receptive to new	Very slow in grasping	Experiences some	Immediately gresps
and amount of training needed to teach new	instructions/ideas and	new instructions/ideas, even with constant	difficulty in grasping new instructions/ideas	new instruction/ idea: and applies them in
skils	applies them quite quickly	BridelAlakou	without follow up	work
37423	quickly) orbonies.	(III) A COLOR COMP	
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RELIABILITY	1	x		
Consider ability of -	_			
	Cannot be refed upon	Fulfills commitments	Handles even difficult	Occasionally fails to
	to carry out assign-	with normal supervision	problems with little or	complete assignment Needs direction
	ments without constant prodding and checking	ļ	no supervision	Heeus Unecoon
a a coduction to contribution	sociality was resecuted	;	-	
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	omments:			· · · · · · · · · · · · · · · · · · ·
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	Case 1:07-cv-07227-AKH	Document 16	Filed 03/11/2008 Fax: 212-562-6167	Page 89 of 155	
	<u>ATTENDANCE</u>			· · · · · · · · · · · · · · · · · · ·	
	Are absences of employee action has been taken.	excessive? If yes,	indicate the number of	times absent in rating period	
	йo,		·	Exh	
_	PUNCTUALITY		· · · · · · · · · · · · · · · · · · ·		
	Is employee excessively late: taken.	If yes, indicate the	number of times late in	rating period and what action	has
	No.				
	•				
			SUMMARY		
J.	EVALUATION CHECKLIST				
Ces	b. Did you consider every	rating period? task?			
u.	(c. Did you determine the employee's performant SUMMARY RATING In arriving at a summary rewhich factors are of the gifthese factors in arriving at	task? most important part e? ting, do not merely a reatest important in a summary rating. Y	add up or average the ration to consider the consider to consider the consider to consider the consideration the considera	preater consideration in evaluating of individual factors. First dept the position. Give greater we factors which have been rated perall performance of the emole	dem eight abo
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Filed 03/11/2008 Page 90 of 155 % 8, 27, 2007, 02:30-5, 2043/263 Document 16
BELLEVUE A.R. DEPT Case 1:07-cv-07227-AKH A PLANS FOR IMPROVEMENT List specific areas in which development is needed and plans you suggest Supervisor's Name Christopher J. Byrne Director of Internal Audit Supervisor's Signature VI. REVIEWED BY DEPARTMENT HEAD Signature Cate Comments: VII. EMPLOYEE My signature on this evaluation and any attachments merely indicates that I have read it and have received a complete copy of it. It does not mean that I agree with the statements made nor does it walve my right to appeal. I acknowledge that I have been advised of my rights to submit a rebuttal to any rating, in writing, to my supervisor within the next ten (10) calendar days. Етрюува в Signatur

NEW YORK CITY HEALTH AND HOSPITALS

MANAGERIAL PERFORMANCE

CORPORATION					•	APPRAISAL
Employee's Name (Last, First, M.I.)	· · · · · · · · · · · · · · · · · · · 			Social Sec	unty Number	Date of Appointm
VIGUERA, CARLOS M.		•		070-42-		to tale
Title	· · · · · · · · · · · · · · · · · · ·	-	Dena	tmant/Unit		5/22/89
SENIOR MANAGEMENT CO	nsultant		}		COUNTING S	VETEME
Facility			ł	of Evaluation		, XD 1 15 FL)
CENTRAL OFFICE	· ,			[g]Aanvel	OSix Month	ORecvaluation
Period Covered		Prepared	by .	 	<u>-:</u>	Oate
From 7/1/89 To: 6/30	0/90	KEV	IN P.	COUĞHLI	N	6/12/90
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Ratings				Defini	tions	
Outstanding	Exce	ievementz	ıar exi Dolribu	ceed positio lion made k	anding for this his performant department	s position. ace objectives. al, divisional.
Supertor (Above Standard)	Posit	ormance a ion. Achie tivas.	ind cor vemen	tributions a ts in most c	re above exp eases exceed	ectancy for this performance
Satisfactory (Meets Standard)	A COME	rmance re vements r are excep	meet p	nis the expe erformance	cted level for objectives ei	this position. ther fully or
Marginal (Below Standard)	j nesuj	is irequen	itiv do	pelow expect not meet pe d after three	tancy for this informance of months.	position; ojectives. A
Unsatisfactory	Perfora	mance is sisting.	consist	ently below	minimal exp	ectancy for

Aug 27 2307 02:30pm - P051/063 ax:212-562-6187

- CURRENT RESPONSIBILIT

Complete One Page For Each Responsibility. Attach Additional Pages As Necessary.

COMPLETED BY EMPLOYEE

RESPONSIBILITY:

identify a major responsibility,



Analyze Cost Accounting Systems data for operations usefullness and Central Office Cost Accounting Systems unit monitoring of Cost Accounting Systems process.

ACTIVITIES:

identify the specific tasks performed to accomplish the above responsibility.

- Analyzed relationship of Central Office and Facility Indirect expenses mallocation by facilities to improve Indirect Expense allocation bases.
- Developed Nursing: Clinics; Inpatient, and Ancillary expense breakdown using LOTUS 1-2-3 to perform analysis.
- Developed Direct; Indirect and Total costs per patient discharged by
- facility; and conject sale and he was full result of the constant in a Developed total Central Office Indirect Expense & by facility in relat:
- Produced listing of 15 analyses that could be conducted for data useful PROGRESS: (Check One) and Central Office monitoring.

□In Progress/On-Going **★**DCompleted □Withdrawn

APPROXIMATE PERCENTAGE of Evaluation Period Spent in Performing These Tasks: __

COMPLETED BY SUPERVISOR/MANAGER

APPRAISAL:

Describe the employee's performance in completing the specific tasks associated with this

Carlos works dilivently on Aplantic and

RATING:

□OUTSTANDING

Ø\$UPERIOR. (Above

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□MARGINAL (Below

UNSATISFACTORY

Standard)

Standard)

Standard)

Complete One Page For Each Responsibility. Attach Additional Pages As Necessary.

COMPLETED BY EMPLOYEE

RESPONSIBILITY:

identify a major responsibility.

Participated and Coordinated PADBARS Cost Accounting Implementation Phase.

ACTIVITIES:

identify the specific tasks performed to accomplish the above responsibility.

- Learned the Concepts & Methodology of the entire C.A.s.

- Wrote & made presentation to Mr. Coughlin, Director of CAS about CAS s - Developed a Gante chart depicting the CAS implementation workplan and
- Made a presentation about implementation guidelines to each acute care
- Followed up closely on the progress of the CAS Implementation at the e acute care facilities & gave special attention to Marlem, Kings County Lincoln, which were back logged on the implementation process.
- Helped with the M&D G/L Allocation by understanding basic concepts obtaining stats & summarizing stats.

PROGRESS: (Check One)

Oln Progress/On-Going

☑Completed

□Withdrawn

APPROXIMATE PERCENTAGE of Evaluation Period Spent in Performing These Tasks: 70

COMPLETED BY SUPERVISOR/MANAGER

APPRAISAL:

Describe the employee's performance in completing the specific tasks associated with this responsibility.

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RATING:

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Complete One Page For Each Responsibility. Attach Additional Pages As Necessary.

COMPLETED BY EMPLOYEE

RESPONSIBILITY:

Identify a major responsibility.

#2

Maintain a Cost Accounting System liaison relationship with eleven acute care facilities CAS liaisons in order to maintain the system and continue to provide technical support.

ACTIVITIES:

identify the specific tasks performed to accomplish the above responsibility.

- Coordinate CAS meeting and presentation between Central Office C.A.S.
- Continue follow-up to assess C.A.S. situations and provide Cost Analysis Support.
- Apply previous banking liaison experience to the hospital environment.
- Analyze workload and distribute periodical frequency variance report

PROGRESS: (Check One) ☆□In Progress/On-Going □Completed □Withdrawn APPROXIMATE PERCENTAGE of Evaluation Period Spent in Performing These Tasks: 10 %
COMPLETED BY SUPERVISOR/MANAGER
APPRAISAL:
Describe the employee's performance in completing the specific tasks associated with this responsibility. Calais him established a good coordinate prelationship with facility Finance staff who have been assigned to the CAS project and with his Co-workers here at Central office. This has helped to keep the project moving forward has helped to keep the project moving forward RATING: BOUTSTANDING SUPERIOR SATISFACTORY MARGINAL DUNSATISFACTORY (Above (Meets (Below Standard) Standard)

Part I — Current responsibilitie

Complete One Page For Each Responsibility. Attach Additional Pages As Necessary.

COMPLETED BY EMPLOYEE

RESPONSIBILITY:

identify a major responsibility.

Improve Cost Accounting System Costing Data.

ACTIVITIES: Michael

identify the specific tasks performed to accomplish the above responsibility.

- Discuss each acute care facility operations with C.A.S. liaisons and a personnel to gain understanding of operations and services.
- Determine facility specific problems, and best Cost Accounting technique to be applies, expense allocation bases, etc.
- Disseminate common C.A.S. improvement knowledge among facilities and create a connection among the eleven acute care facilities.

PROGRESS: (Check One)

Min Progress/On-Going

□Completed -

□Withdrawn

APPROXIMATE PERCENTAGE of Evaluation Period Spent in Performing These Tasks: COMPLETED BY SUPERVISOR/MANAGER

APPRAISAL: Describe the employee's performance in completing the specific tasks associated with this

RATING:

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(Above Standard) SATISFACTORY (Meets

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(3 0)	tive in supporting the Congoals.	<u> </u>		[]]	+74-76	NA	
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:]	Comment where appropriate. For relevant to the employee's job, insert comments.	items not	U P	17 18	1	Attach additional sheets, if necessary. Reference comments by section.
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PART IV - PERFORMANCE ANALYS

COMPLETED BY SUPERVISOR/MANAGER

identify employee's principal strengths, skilts and abilities. Comments must be specific and job-related.

- attention to detail

- analytic ability

- effectiveness in working with others.

- willingness to take on additional responsibilitie

Identify employee's principal improvement and development needs. Comments must be specific and job-related.

COMPLETED BY SUPERVISOR/MANAGER

CECTAMARIOE ANALYSIS

Identify the action plans to address improvement and development needs.

Attendance at appropriate professional Planines: Just decounting Financial Systems, Projet Minagnent.

PART V - PROJECTED RESPONSIBILITIES

Identify the specific responsibilities or major job duties which will be assigned to the employee for the next evaluation period.

the next evaluation period.

1. Follow up on progress of 3 facilities still preparing the implements

2 Montor CAS utilization at all 11 acute cure fine

3. descurs reports from septem with facility CO, users to deal

" Perform various and pies to heablifet: According freal

5 Costing methodology to reflect thealth business practice

PAGE 10 6. Expend laison activities to ambulatory are facilities

	r FRI		
COME	PLETED BY SUPERVISO	R/MANAGER	<u> </u>
RATING: DOUTSTANDING ESUPERIOR (Above Standard)	(Meets	□MARGINAL (Below Standard)	UNSATISFACTORY
JUSTIFICATION FOR OVERALL RA	ATING: Required for overs	all rating of "Margir	nal" or "Unsatistactory."
first six hos about the superiate He has deve facility for a positive department Augustem Am the system. Care petting	rele a lot of	progress of the has been de task cellent par cert in helping access of the access of t	since his one knowledge indiate so on his own sport with sinly had every the le we expand mbulatory il continue
I have reviewed this evaluation and omeans that I have been advised of othe appraisal or the ratings. I unders within the next ten (10) calendar day	my performance and does stand that I may submit a	s not necessarily in written rebuttal to	mply that I agree with my supervisor/manager red with this evaluation.
imployee Signature: Onstar /	Viguelra	<u> </u>	Date 7/12/96
upervisor/Manager Signature:	Loughla		Date 7/13/9c
eviewer Signizture: Ruccy	Do the		7/19/40
REBUTTAL: If a rebuttal (HHC(96a)	s submitted, the employe	ee shall sign below	, f 1
certify that my rebuttal has been so	bmitted under the guidel	ines.	
aptoyee Signature;			Date Submitted

ExhibiT#28

From:

frone Torres

To:

Viguera, Certos

Date:

8/7/2006 1:33:56 PM

Subject:

Ra: Performance Evaluation

Carlos:

Terry is not involved with this evaluation. I would suggest you write your rebuttal, and hopefully that will assist your evaluation for this period. I would recommend that you please work on those areas noted for improvement and that for the next evaluation your rating will improve. Carlos I look forward to working with you on this project for readmits and I truly feel you will be able to do a great job!

trene Torres, LCSW "R"
Sr. Associate Executive Director
Division of Case Management
Telephone #(212) 562-6881
Fax #(212-) 562-6785
Torrest@Bellevue.nychho.org

Case 1:07-cv-07227-AKH Document 16 Filed 03/11/2008 Page 192 of 155 161/263

Exhibit#29

Carlos M. Viguera 1020 Grand Concourse, Apt. 4R Bronx, NY, 10451 Tel. 917-327-0541 212-562-2253

Metroplus Health Plan, Inc. Human Resources Department Employment Manager 160 Water Street, 4th Floor New York, NY 10038

Dear Sir or Madame:

I would like to apply for the Director of Operations Planning and Services position advertised in the New York Times on Sunday, November 12, 2006.

I have 25 years financial, operations analyses and management experience in the private and public sectors.

Specially relevant is my experience performing operations, productivity, workmeasurement, financial studies, developing and setting productivity standards in the Financial Planning & Control Department of a major NYC Bank.

I also have experience assisting in the management of Health Centers operations and performing operations and financial analyses in the outpatient and inpatient sectors to include the implementation of the outpatient managed care operations, and the development of the former NYC-DOH Communicate clinics and Metroplus managed care contract; performing the entire range of financial functions; implementation of the cost accounting systems in the 11 HHC acute facilities and performing case mix and DRG analyses.

My computer and project management skills will be an Asset.

Attached is copy of my resume for your review,

I thank you for your consideration.

Carlos M. Viguera

Case 1:07-cv-07227-AKH ၂ppcument 16 Filed 03/11/2008

() ABaggeoff Date of 1615 1515 27 052 () X Lu, b, T # 30

May 17, 2006

Carlos M. Viguera 1020 Grand Concourse Apt. 4R Bronx, NY, 10451 (B) 212-562-2253 (Ceil) 917- 327-0541 (H) 718-293-8976

Bellevue Hospital Center First Ave & 27th Street New York, NY, 10016

Dear Sir or Madame:

I would like to apply for the Senior Management Consultang position #PSY-5809 advertised in the Job posting # 134/06 for May to May 18,2006.

Especially relevant is my 25 years Financial and Management experience in the private sector and public sector.

Attached is a copy of my resume for your reviewed.

Thank you for your consideration.

Carlos M. Viguera

EXhibIT#31

January 17, 2007

Carlos M. Viguera 1020 Grand Concourse, Apt. 4R Bronx, NY, 10451 Tel. 917-327-0541 212-562-2253

Believue Hospital Center Human Resources Department Ms. Sara Rodriguez, Assistant Director 462 First Avenue, Room 129 New York, NY 10016

Dear Ms. Rodriguez:

I would like to apply for the Sr. Health Care Program Planner Analyst Position, Ambulatory Care # 3199 position advertised in the Job Posting, January 17, 2007.

I have 25 years Financial, Ambulatory Care Operations and Administration experience in the private and public sectors.

Specially relevant is my experience assisting in the development, implementation, and management of the former NYC-DOH Communicate Health Centers, including the development of PS, OTPS, Revenue budgets and management of the entire financial functions.

My computer and project management skills will be useful in this position.

Attached is copy of my resume for your review.

I thank you for your consideration.

Carlos M. Viguera h. Vigue

EXHIBIT "G"

(NATED AND LOUGH BARNES OF

SOUTHERN DISTRICT OF NEW YORK		
CARLOS M. VIGUERA,		
	Plaintiff,	ANSWER
-against-		07 Civ. 7227 (AKH)(AJP)
BELLEVUE HOSPITAL CENTER,		, ,,,,,,,,,
	Defendant,	
	X	

Defendant, Bellevue Hospital Center ("Bellevue"), by its altorney, Michael A. Cardozo, Corporation Counsel of the City of New York, for its answer to the Complaint, tespectfully alleges as follows:

- Denies the allegations set forth in paragraph "1" of the Complaint, except admits that plaintiff purports to proceed as set forth therein.
- 2. Denies knowledge or information sufficient to form a belief as to the truth of the allegations set forth in paragraph "2" of the Complaint.
- 3. Denies the allegations set forth in paragraph "3" of the Complaint, except admits that Bollevue Hospital Center is located at First Avenue and 27th Street, New York, New York 10016.
- 4. Denies the allegations set forth in paragraph "4" of the Complaint, except admits that plaintiff purports to proceed as set forth in this paragraph.
 - 5. Denics the allegations set forth in paragraph "5" of the Complaint.
- 6. Denies the allegations set forth in paragraph "6" of the Complaint except denies knowledge or information sufficient to form a belief as to the truth of the allegations concerning what plaintiff believes.

- 7. Denies the allegations set forth in paragraph "6" of the Complaint except admits that plaintiff identifies himself as a "Dominican/Hispanic," male, age 57 years of age.
- 8. Denies the allegations set forth in paragraph "7" of the Complaint, except admits that plaintiff is employed by the New York City Health and Hospitals Corporation ("HHC"), that he works at Bellevue Hospital Center, the he served in the line of titles Senior Health Care Program Planner/Analyst ("HCPPA"), and respectfully refer the Court to the documents annexed to the Complaint for a complete and accurate statement of their contents.
- 9. Denies knowledge or information sufficient to form a belief as to the truth of the allegations the allegations set forth in paragraph "9" of the Complaint except admits that a charge of discrimination was filed and affirmatively state that the New York State Division of Human Rights found no probable cause to the charge of discrimination and respectfully refer the Court to a copy of the New York State Division of Human Rights decision which is annexed hereto as Exhibit "A."
- 10. Denies knowledge or information sufficient to form a belief as to the truth of the allegations set forth in paragraph "10" of the Complaint, and respectfully refer the Court to the documents annexed to the Complaint for a complete and accurate statement of their contents and affirmatively state that Plaintiff also filed a charge of discrimination in 2004, that the Equal Employment Opportunity Commission adopted the New York State Division of Human Rights' finding of no probable cause to the charge of discrimination and respectfully refer the Court to a copy of the Equal Employment Opportunity Commission's decision which is annexed hereto as Exhibit "B."
- 11. Denies knowledge or information sufficient to form a belief as to the truth of the allegations set forth in paragraph "11" of the Complaint,

12. Denies knowledge or information sufficient to form a belief as to the truth of the allegations set forth in paragraph "12" of the Complaint, and respectfully refer the Court to the documents annexed to the Complaint for a complete and accurate statement of their contems.

FOR A FIRST DEFENSE:

 The Complaint fails to state a claim against upon which relief can be granted.

FOR A SECOND DEFENSE:

14. This Court lacks jurisdiction, in whole or in part, over the Complaint.

FOR A THIRD DEFENSE:

15. The Complaint is barred, in whole or in part, by the applicable statute of limitations.

FOR A FOURTH DEFENSE:

16. The Complaint is barred, in whole or in part, by the failure to perform all conditions precedent to suit.

FOR AN FIFTH DEFENSE:

Bellevuc Hospital Center is not a suable entity.

FOR A SIXTH DEFENSE

18. Upon information and belief, plaintiff failed, in whole or in part, to mitigate damages.

FOR A SEVENTH DEFENSE

- 19. Defendants exercised reasonable care to prevent and promptly eliminate any harassing behavior.
- 20. Plaintiff unreasonably failed to take advantage of preventative or corrective opportunities provided by the employer to its employees or to otherwise avoid harm.

FOR AN EIGHTH DEFENSE

Defendant is not liable under cases such as <u>Faragher v. Boca Raton</u>, 524
 U.S. 775 (1998) and <u>Burlington Industries v. Ellerth</u>, 524 (J.S. 742, 754 (1998).

FOR A NINTH DEFENSE:

22. The Complaint is barred in whole or in part, by the doctrines of issue preclusion, claim preclusion, judicial estoppel or any combination of the doctrines.

WHEREFORE, defendant requests judgment dismissing the Complaint and denying all relief requested therein, that judgment be entered for defendant, and that defendant be granted costs, fees, and disbursements together with such other and further relief as the Court deems just and proper.

Dated:

New York, New York October 22, 2007

MICHAEL A. CARDOZO

Corporation Counsel of the City of New York Attorney for Defendant 100 Church Street, Room 2-316 New York, New York 10007-2601 (212) 788-1202

By:

Alan M. Schlesinger Assistant Corporation Counsel

To: CARLOS M, VIGUERA

Plaintiff *Pro-Se* 1020 Grand Concourse, Apt. 4R Bronx, NY 10451 STATE DIVISION OF HUMAN RIGHTS STATE OF NEW YORK : EXECUTIVE DEPARTMENT

STATE DIVISION OF HUMAN RIGHTS on the Complaint of

CARLOS M. VIGUERA

Complainant

ν,

N.Y.C. HEALTH AND HOSPITALS
CORPORATION, BELLEVUE HOSPITAL CENTER
AND MARIA DEL PILAL RUIZ (COORDINATING
MANAGER) AND EDIE COLEMAN (DIRECTOR)
AS AIDER AND ABETTORS

Respondent

DETERMINATION AND ORDER AFTER INVESTIGATION

Case No. 7943982

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Federal Charge No. 16GA409174

On 1/30/2004, Carlos M. Viguera filed a verified complaint with the State Division of Human Rights charging the above-named tespondent with an unlawful discriminatory practice relating to employment because of sex, opposed discrimination/retaliation in violation of the Human Rights Law of the State of New York.

After investigation, and following opportunity for review of related information and evidence by the named parties, the Division of Human Rights has determined that there is NOTE RROBABLE PAUSE to believe that the respondent has engaged in or is engaging in the unlawful discriminatory practice complained of. This determination is based on the following:

The Complainant, a male Program Planning Analyst since May 1989, alleges that he was sexually harassed by one female manager during his employment. Complainant alleges that since January 2003, Ms. Maria Del Pilar Ruiz would ask him to go to her home to eat salmon, she asked him to wait for him after work to socialize, would stand next to him and move her body in a provocative manner. On January 2004, he was in the restroom undressed taking care of his personal needs when Ms. Ruiz opened the door of the restroom and entered inside to call him for performance evaluation session with Director Coleman. He complained to Ms. Coleman about Ruiz's sexual behaviors to no avail. Complainant claims after he complained to Ms. Coleman about the sexual harassment, Ms. Ruiz would question the quality of his work, when previously she had no problem. Ms. Ruiz also physically assaulted Complainant by punching his back at work.

Filed 03/11/2008 Page 111 of 155

Determination: Dismissal No Probable Cause

SDHR Case No. 7943982

Carlos M. Viguera V. N.Y.C. Health And Hospitals Corporation, Bellevue Hospital Center And Maria Del Pilal Ruiz (Coordinating Manager) And Edie Coleman (Director) As Aider And Abettors

Respondent denies Complainant claims of sexual harassment and retaliation and avers that its treatment of the Complainant is due to lawful business related issues.

The evidence gathered during the course of the investigation of the instant complaint is not sufficient to support the complainant's allegations that he was sexually harassed and retaliated against for complaining.

The complainant mentions various incidents that he claims are evidence of this alleged sexual harassment by Ms. Ruiz. Even assuming arguendo that the various alleged incidents mentioned above did occur as indicated by the complainant, they do not constitute severe and pervasive behavior and therefore do not rise to the level required by the statute.

The investigation revealed no information to support that other allegations of sexual harassment other that the incident when the alleged harasser went into the bathroom to find complainant. The evidence shows that the bathroom in question is a unisex bathroom used by all employees in the section. The evidence gathered suggests that at the time of the incident, the alleged harasser was looking for the complainant to remind him of a meeting were his performance was being reviewed by Ms Coleman and Ruiz. The complainant's view that this was done to sexually harass him is not supported by the evidence. Complainant also alleges that on one occasion, Ms Ruiz entered his office and "moved her body in a provocative manner" as further evidence of this alleged sexual harassment. This occurred within the confines of the complainant's office and there are no witnesses to this alleged conduct. The complainant continues by alleging that Ms. Ruiz invited him to her house to "eat salmon" as further evidence of this sexual harassment. Ms. Ruiz explained that on one occasion when she was having lunch with the complainant, he ordered salmon and she then told him that she did not eat salmon unless she cooked it herself and that one day she would cook it at her home and invite the complainant to try

The record shows that prior to the complainant filing the instant complaint alleging sexual harassment he was already having performance problems. The record also shows that prior to the instant complaint and after the acts of sexual harassment are alleged to have occurred; the complainant filed a

Determination: Dismissal No Probable Cause SDNR Case No. 7943982

Carlos M. Viguera V. N.Y.C. Health And Hospitals Corporation, Bellevue Hospital Center And Maria Del Pilal Ruiz (Coordinating Manager) And Edie Coleman (Director) As Aider And Abettors

discrimination complaint charging the same individuals with discriminating against him because of his age, sex, national origin, race and retaliation for filing an internal complaint. In his initial complaint, the complainant did not mention sexual harassment. A reasonable person may be obligated to conclude that it is highly improbable that someone asserting all these named bases would fail to include sexual harassment. Complainant's explanation that he was afraid that no one would believe him because he is a man; the record support that the complainant is very vocal when defending what he believes are wrongs done to him.

The complainant also charges that Ms. Ruiz "punched" him either in his ribs or his back. Again, this occurred in his office were there were no witnesses to the alleged act. The record shows that after this alleged incident, the complainant summoned the police who declined to pursue the charges. The complainant then filed a complaint against the police officer with the Civilian Review Board.

The record also shows that the complainant was previously suspended for performance issues and this suspension was upheld by the trier of facts in a Step II grievance. During the hearing there was testimony to the effect that others had to complete some of the complainant's work. Witness Yesenia Torres admitted that she was given some of complainant's work to finish when the complainant could not. This witness also stated that the complainant had confided to her that he thought that Ms. Ruiz "like him more than as a friend." Although the complainant appears to allege that he did not, the evidence adduced support that prior to Ms Ruiz being promoted, they would socialize by going out to lunch together. The witness also stated that the complainant was not an accountant, but an auditor and when he was transfer too the unit he did not have accounting experience.

The respondent has advanced non-discriminatory, business related reasons for the actions taken against the complainant. Such reason is not found to be a pretext for the respondent to discriminate against the complainant. The Complainant continues to work for the respondent.

The complaint is therefore ordered dismissed and the file is closed.

, Case 1:07-cv-07227-AKH Document 16 Filed 03/11/2008 Page 113 of 155

Determination: Dismissal No Probable Cause

SDHR Case No. 7943982

Carlos M. Viguera V. N.Y.C. Health And Hospitals Corporation, Bellevue Hospital Center And Maria Del Pilal Ruiz (Coordinating Manager) And Edie Coleman (Director) As Aider And Abettors

PLEASE TAKE NOTICE that any party to this proceeding may appeal this Determination to the New York State Supreme Court in the County wherein the alleged unlawful discriminatory practice took place by filing directly with such court a Notice of Petition and Petition within sixty (60) days after service of this Determination. A copy of this Notice and Petition must also be served on all parties including General Counsel, State Division of Human Rights, One Fordham Plaza, 4th Floor, Bronx, New York 10458. DO NOT FILE THE ORIGINAL NOTICE AND PETITION WITH THE STATE DIVISION OF HUMAN RIGHTS.

PLEASE TAKE FURTHER NOTICE that a complainant who seeks state judicial review and who receives an adverse decision therein, may lose his or her right to proceed subsequently in federal court by virtue of Kremer v. Chemical Construction Co.,

Your charge was also filed under Title VII of the Civil Rights Act of 1964. Enforcement of the aforementioned law(s) is the responsibility of the U.S. Equal Employment Opportunity Commission (EEOC). You have the right to request a review by EEOC of this action. To secure review, you must request it in writing, within 15 days of your receipt of this letter, by writing to EEOC, New York District Office, 33 Whitehall Street, 5th Floor, New York, New York 10004-2112. Otherwise, EEOC will generally, adopt our action in your case.

Dated:

oklyn, New York

STATE AVISION OF HUMAN RIGHTS

earwood-Drury Director O.S.H.T.

STATE OF NEW YORK: FEXECUTIVE DEPARTMENT
STATE DIVISION OF HUMAN RIGHTS

EXEC. LAW ART. 15 SDHR NO: 9S-E-OS-04-7943982-E

(State Division of Human Rights on the Complaint of)

Carlos M. Víguera

COMPLAINANT

- against -

N.Y.C. Health and Hospitals Corporation, Bellevue Hospital Cente and Maria del Pilal Ruiz (Coordinating Manager) and Edie Coleman (Director) as Aider and Abettors

RESPONDENT

TITLE VII: Federal Charge No: 16GA409174

I, Carlos M. Viguera, residing at 1020 Grand Concourse, Bronx, NY 10460, Tel. No. (718) 293-8976, (718) - charge the above-named respondent whose address is First Ave & 27 th St New York, NY 10016 Tel. No. (212) 562-6227 with an unlawful discriminatory practice relating to Employment in violation of Article 15 of the Executive Law of the State of New York (Human Rights Law) because of Opposing Discrimination and Sex.

Date most recent or continuing discrimination took place 01/29/04.

The particulars are:

- 1. I am male, I was sexually harassed and I was discriminated because I Opposed Discrimination.
- 2. I worke for the Respondent Sr. Health Care Program Planning Analyst from May 1989 to Present. My time and attendance as well as my work performance were satisfactory.
- 3. On or about January 2003 and thereafter, Respondent's Corlos Coordinator Manager Maria del Pilar Ruiz began to sexually harass me. The sexual harassment includes but was not limited to Respondent's Coordinator Ruiz would ask me to go to her home to eat salmon, she ask me to wait for her after works to socialize. She would stand next to me and move her body in a provocative manner. I tried to ignore her for the longest just because she was my boss.
- 4. On January 15, 2004, I was in the restroom undressed, doing my personal need, and Ms Ruiz, opened the door of the restroom and entered inside to call me for performance evaluation session with

Complaint: Title VII (INT.2) (1 of 2) /rga 01/30/04

SDHR NO: 9S-E-OS-04-7943982-E FEDERAL CHARGE NO: 16GA409174

Edie Coleman, Director of Grants Reporting Unit. I complained to Ms Coleman about Ms Ruiz sexual behaviors to no avail. I do not know how Ms Ruiz managed to open the door from the outside. However, the door could be easily open from the outside with a coin.

- Since I complained to Ms Coleman about Ms Ruiz sexual behaviors, Ms Ruiz began to question the quality of my daily work production. I never have problems with my work performance in the past. I believe that the sole reason why Mr Ruiz is attacking my work performance is because I objected to her sexual advances.
- Based on the foregoing, I charge the above named respondent with an unlawful discriminatory practice related to employment by denying me equal terms, conditions and privileges of employment because I Opposed discrimination and Sex in violation of the New York Human Rights Law, Section 296.

Complaint: Title VII (INT.2) (Supplemental)

01/30/04

SDHR NO: 95-E-OS-04-7943982-E

FEDERAL CHARGE NO: 16GA409174

"I have not commenced any other civil or criminal action, nor do I have an action pending before any administrative agency under any other law of this state based upon this same unlawful discriminatory practice."

I also charge the above-named respondent(s) with violating Title VII of the Civil Rights Act of 1964, as amended (covers race, color, creed, national origin, sex relating to employment) and hereby authorize SDHR to accept this verified complaint on behalf of EEOC subject to the statutory limitations contained in Title VII.

(Signature of Complainant)

STATE OF NEW YORK COUNTY OF Kings

3:

Carlos M. Viguera, being duly sworn, deposes and says: that he/she is the complainant herein; that he/she has read (or had read to him/her) the foregoing complaint and knows the content thereof; that the same is true of his/her own knowledge except as to the matters therein stated on information and belief; and that as to those matters, he/she believes the same to be true.

(Signature of Complainant)

Subscribed and sworn to before me this 30th day of January, 2004

(Signature of Notary Public)

2027

Complaint: Title VII (INT.2) (2 of 2)

01/30/04

Case 1:07-cv-07227-AKH Document 16 Filed 03/11/2008 Page 117 of 155 EEOC FORM 181 (3/08)

DISMISSAL AND NOTICE	OF	RIGHTS
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To: Carlos M. Viguera 1020 Grand Concourse Bronx, NY 10460

From: New York District Office - 520 33 Whitehall Street

5th Floor

				New York, NY 104	004
	On t	behelf of person(s) aggricul FIDENTIAL (29 CFR §16	red whose identity is 01.7(e))		
EEOC Char	ge No.		presentative	·····	Telephone No.
		Holly M	. Woodyard,		
163-2004	1-09174	Investig	ator		(212) 336-3643
THE EEC	IS CLOSING	ITS FILE ON THIS	CHARGE FOR THE FOL	LOWING REASON:	
			a claim under any of the statute		
	Your allegations	dd not involve a disability	as defined by the Americans V	With Disabilities Act	
			julied number of employees or i		y the statutes.
					f the alleged discrimination to file your
	Having been gi Interviews/confer	Men 30 days in which ences, or otherwise falled	to respond, you falled to to cooperate to the extent that it	provide information, fail was not pessible to resor	ed to appear or be available for ve your charge.
	While reasonable	efforts were made to loce	ite you, we were not able to do i	#C.	• ••
	You were given 3	0 days to accept a reason	able settlement offer that afford	s full relief for the harm you	u alleged.
ئــا			on: Sased upon its investigation does not certify that the respond having been raised by this char		conclude that the information obtained the statutes. No finding is made as to
X			state or local fair employment pr		Insted this charms
	Other (briefly state			• • • • • • • • • • • • • • • • • • • •	Branch and Ser.
		- 1 (See the	NOTICE OF SUIT RIGH additional information attached	ITS - to this form.)	
federal law	based on this d ice; or your right	harde in federal or s	tata court - Your levent	may nie a lawsuit ag	nent Act: This will be the only ainst the respondent(s) under IIN 90 DAYS of your receipt uit based on a state claim may
		A suits must be filed. This means that be to collectible.	company doe for any viola	within 2 years (3 years) within 2 years (3 years) without that occurred within the same of	ars for willful violations) of the more than 2 years (3 years)
€ndosures(s)		 _	A STATE OF THE STA		5/23/06
			Spencer H. Lewis, Jr. Director	g	(Date Mailed)
125	.C. HEALTH AND Worth St I: Shirley Facey	HOSPITALS CORP			

New York, NY 10016

STATE DIVISION OF HUMAN RIGHTS STATE OF NEW YORK : EXECUTIVE DEPARTMENT

STATE DIVISION OF HUMAN RIGHTS on the Complaint of

ÇARLOS M. VIGHERA

Complainant

ν.

N.Y.C. HEALTH AND HOSPITALS
CORPORATION, BELLEVUE HOSPITAL CENTER
AND MARIA DEL PILAL RUIZ (COORDINATING
MANAGER) AND EDIE COLEMAN (DIRECTOR)
AS AIDER AND ABETTORS

Respondent

DETERMINATION AND ORDER AFTER INVESTIGATION

Case No. 7943982

TH SE

Federal Charge No. 16GA409174

On 1/30/2004, Carlos M. Viguera filed a verified complaint with the State Division of Human Rights charging the above-named respondent with an unlawful discriminatory practice relating to employment because of sex, opposed discrimination/retaliation in violation of the Human Rights Law of the State of New York.

After investigation, and following opportunity for review of related information and evidence by the named parties, the Division of Human Rights has determined that there is NEW BROBARLE SUSE to believe that the respondent has engaged in or is engaging in the unlawful discriminatory practice complained of. This determination is based on the following:

The Complainant, a male Program Planning Analyst since May 1989, alleges that he was sexually harassed by one female manager during his employment. Complainant alleges that since January 2003. Ms. Maria Del Pilar Ruiz would ask him to go to her home to eat salmon, she asked him to wait for him after work to socialize, would stand next to him and move her body in a provocative manner. On January 2004, he was in the restroom undressed taking care of his personal needs when Ms. Ruiz opened the door of the restroom and entered inside to call him for performance evaluation session with Director Coleman. He complained to Ms. Coleman about Ruiz's sexual behaviors to no avail. Complainant claims after he complained to Ms. Coleman about the sexual harassment, Ms. Ruiz would question the quality of his work, when previously she had no problem. Ms. Ruiz also physically assaulted Complainant by punching his back at work.

Determination: Dismissal No Probable Cause SDHR Case No. 7943982

Carlos M. Viguera V. N.Y.C. Health And Hospitals Corporation, Bellevue Hospital Center And Maria Del Pilal Ruiz (Coordinating Manager) And Edie Coleman (Director) As Aider And Abettors

Respondent denies Complainant claims of sexual harassment and retaliation and avers that its treatment of the Complainant is due to lawful business related issues.

The evidence gathered during the course of the investigation of the instant complaint is not sufficient to support the complainant's allegations that he was sexually harassed and retaliated against for complaining.

The complainant mentions various incidents that he claims are evidence of this alleged sexual harassment by Ms. Ruiz. Even assuming arguendo that the various alleged incidents mentioned above did occur as indicated by the complainant, they do not constitute severe and pervasive behavior and therefore do not rise to the level required by the statute.

The investigation revealed no information to support that other allegations of sexual harassment other that the incident when the alleged harasser went into the bathroom to find complainant. The evidence shows that the bathroom in question is a unisex bathroom used by all employees in the section. The evidence gathered suggests that at the time of the incident, the alleged harasser was looking for the complainant to remind him of a meeting were his performance was being reviewed by Ms Coleman and Ruiz. The complainant's view that this was done to sexually harass him is not supported by the evidence. Complainant also alleges that on one occasion, Ms Ruiz entered his office and "moved her body in a provocative manner" as further evidence of this alleged sexual harassment. This occurred within the confines of the complainant's office and there are no witnesses to this alleged conduct. The complainant continues by alleging that Ms. Ruiz invited him to her house to "eat salmon" as further evidence of this sexual harassment. Ms. Ruiz explained that on one occasion when she was having lunch with the complainant, he ordered salmon and she then told him that she did not eat salmon unless she cooked it herself and that one day she would cook it at her home and invite the complainant to try it.

The record shows that prior to the complainant filing the instant complaint alleging sexual harassment he was already having performance problems. The record also shows that prior to the instant complaint and after the acts of sexual harassment are alleged to have occurred; the complainant filed a

Determination: Dismissal No Probable Cause

SDHR Case No. 7943982

Carlos M. Viguera V. N.Y.C. Health And Hospitals Corporation, Bellevue Hospital Center And Maria Del Pilal Ruiz (Coordinating Manager) And Edie Coleman (Director) As Aider And Abettors

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The complainant also charges that Ms. Ruiz "punched" him either in his ribs or his back. Again, this occurred in his office were there were no witnesses to the alleged act. The record shows that after this alleged incident, the complainant summoned the police who declined to pursue the charges. The complainant then filed a complaint against the police officer with the Civilian Review Board.

The record also shows that the complainant was previously suspended for performance issues and this suspension was upheld by the trier of facts in a Step II grievance. During the hearing there was testimony to the effect that others had to complete some of the complainant's work. Witness Yesenia Torres admitted that she was given some of complainant's work to finish when the complainant could not. This witness also stated that the complainant had confided to her that he thought that Ms. Ruiz "like him more than as a friend." Although the complainant appears to allege that he did not, the evidence adduced support that prior to Ms Ruiz being promoted, they would socialize by going out to lunch together. The witness also stated that the complainant was not an accountant, but an auditor and when he was transfer too the unit he did not have accounting experience.

The respondent has advanced non-discriminatory, business related reasons for the actions taken against the complainant. Such reason is not found to be a pretext for the respondent to discriminate against the complainant. The Complainant continues to work for the respondent.

The complaint is therefore ordered dismissed and the file is closed.

Determination: Dismissal No Probable Cause

SDHR Case No. 7943982

Carlos M. Viguera V. N.Y.C. Health And Hospitals Corporation, Bellevue Hospital Center And Maria Del Pilal Ruiz (Coordinating Manager) And Edie Coleman (Director) As Aider And Abettors

PLEASE TAKE NOTICE that any party to this proceeding may appeal this Determination to the New York State Supreme Court in the County wherein the alleged unlawful discriminatory practice took place by filing directly with such court a Notice of Petition and Petition within sixty (60) days after service of this Determination. A copy of this Notice and Petition must also be served on all parties including General Counsel, State Division of Human Rights, One Fordham Plaza, 4th Floor, Bronx, New York 10458. DO NOT FILE THE ORIGINAL NOTICE AND PETITION WITH THE STATE DIVISION OF HUMAN RIGHTS.

PLEASE TAKE FURTHER NOTICE that a complainant who seeks state judicial review and who receives an adverse decision therein, may lose his or her right to proceed subsequently in federal court by virtue of Kremer v. Chemical Construction Co., 456 U.S. 461 (1982).

Your charge was also filed under Title VII of the Civil Rights Act of 1964. Enforcement of the aforementioned law(s) is the responsibility of the U.S. Equal Employment Opportunity Commission (EEOC). You have the right to request a review by EEOC of this action. To secure review, you must request it in writing, within 15 days of your receipt of this letter, by writing to EEOC, New York District Office, 33 Whitehall Street, 5th Floor, New York, New York 10004-2112. Otherwise, EEOC will generally, adopt our action in your case.

Dated: 🔏

Brooklyn, New York

STATE PAVISION OF HUMAN RIGHTS

earwood-Drury Director O.S.H. I.

STATE OF NEW YORK: * EXECUTIVE DEPARTMENT EXEC. LAW ART. 15

EXEC. LAW ART. 15 SDHR NO: 95-E-OS-04-7943982-E

(State Division of Human Rights on the Complaint of)

Carlos M. Viguera

COMPLAINANT

· against -

N.Y.C. Health and Hospitals Corporation, Bellevue Hospital Cente and Maria del Pilal Ruiz (Coordinating Manager) and Edie Coleman (Director) as Aider and Abettors

RESPONDENT

TITLE VII: Federal Charge No: 16GA409174

I, Carlos M. Viguera, residing at 1020 Grand Concourse, Bronx, NY 10460, Tel. No. (718) 293-8976, (718) - charge the above-named respondent whose address is First Ave & 27 th St New York, NY 10016 Tel. No. (212) 562-6227 with an unlawful discriminatory practice relating to Employment in violation of Article 15 of the Executive Law of the State of New York (Human Rights Law) because of Opposing Discrimination and Sex.

Date most recent or continuing discrimination took place 01/29/04.

The particulars are:

- 1. I am male, I was sexually harassed and I was discriminated because f Opposed Discrimination.
- 2. I worke for the Respondent Sr. Health Care Program Planning Analyst from May 1989 to Present. My time and attendance as well as my work performance were satisfactory.
- 3. On or about January 2003 and thereafter, Respondent's Coordinator Manager Maria del Pilar Ruiz began to sexually harass me. The sexual harassment includes but was not limited to Respondent's Coordinator Ruiz would ask me to go to her home to eat salmon, she ask me to wait for her after works to socialize. She would stand next to me and move her body in a provocative manner. I tried to ignore her for the longest just because she was my boss.
- 4. On January 15, 2004, I was in the restroom undressed, doing my personal need, and Ms Ruiz, opened the door of the restroom and entered inside to call me for performance evaluation session with

Complaint: Title VII (INT.2) (1 of 2)/rga 01/30/04

SDHR NO: 98-E-OS-04-7943982-E FEDERAL CHARGE NO: 16GA409174

Edie Coleman, Director of Grants Reporting Unit. I complained to Ms Coleman about Ms Ruiz sexual behaviors to no avail. I do not know how Ms Ruiz managed to open the door from the outside. However, the door could be easily open from the outside with a coin.

- 5. Since I complained to Ms Coleman about Ms Ruiz sexual behaviors, Ms Ruiz began to question the quality of my daily work production. I never have problems with my work performance in the past. I believe that the sole reason why Mr Ruiz is attacking my work performance is because I objected to her sexual advances.
- 6. Based on the foregoing, I charge the above named respondent with an unlawful discriminatory practice related to employment by denying me equal terms, conditions and privileges of employment because I Opposed discrimination and Sex in violation of the New York Human Rights Law, Section 296.

Complaint: Title VII (INT.2) (Supplemental)
/rga

01/30/04

SDHR NO: 9S-E-OS-04-7943982-E FEDERAL CHARGE NO: 16GA409174

" I have not commenced any other civil or criminal action, nor do I have an action pending before any administrative agency under any other law of this state based upon this same unlawful discriminatory practice."

I also charge the above-named respondent(s) with violating Title VII of the Civil Rights Act of 1964, as amended (covers race, color, creed, national origin, sex relating to employment) and hereby authorize SDHR to accept this verified complaint on behalf of EEOC subject to the statutory limitations contained in Title VII.

STATE OF NEW YORK COUNTY OF Kings

S:

Carlos M. Viguera, being duly sworn, deposes and says: that he/she is the complainant herein; that he/she has read (or had read to him/her) the foregoing complaint and knows the content thereof; that the same is true of his/her own knowledge except as to the matters therein stated on information and belief; and that as to those matters, he/she believes the same to be true.

Subscribed and sworn to before me this 30th day of January, 2004

 $\mathfrak{Z} \cap \mathcal{O}(1)$

Complaint: Title VII (INT.2) (2 of 2) /rga

01/30/04

DECLARATION OF SERVICE

I, KAMI Z. BARKER, declare pursuant to 28 U.S.C. § 1746, and under penalty of perjury, that today, I served the amexed Answer & Exhibits upon:

CARLOS M. VIGUERA Plaintiff *Pro-Se* 1020 Grand Concourse, Apt. 4R Bronx, NY 10451

said address(es) being designated by those attorneys for service, by depositing a copy of the same, enclosed in a first class postpaid properly addressed wrapper, in a post office/official depository under the exclusive care and custody of the United States Postal Service, within the State of New York.

Dated:

New York, New York October 22, 2007

KAMI Z. BARKER

07 C(v. 7227(AKH)(AJP)	UNITED STATES DISTRICT COURT	SOUTHERN DISTRICT OF NEW YORK
0) Civ	CNITE	SOUT

CARLOS M. VIGUERA,	
	Plaintiff,
-against-	
BELLEVUE HOSPITAL CENTER,	ć
	Defendant.
ANSWER	
MICHAEL A. CARDOZO Corporation Counsel of the City of New York Attorney for Defendant 100 Church Street, Room 2-316 New York, N.Y. 10007-2601	JOCZO Sity of New York adant om 2-316 07-2601
Of Counsel: Alan M.	Alan M. Schlesinger
Kami Barker (Awaiting Admis Tel: (212) 788-8688	Kami Barker (Awaiting Admission) (212) 788-8688
Maller No.: 2007-027449	27449
Due and timely service is hereby admitted.	mitted.
Dated: New York, N.Y.	, 2007

Attorney for.....

Signed:

EXHIBIT "H"

ROOSEVELT SEYMOUR Attorney for Plaintiff 175 Remsen Street, Suite 602 Brooklyn, New York 11201 (718) 802-0055

UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

CARLOS VIGUERA,

Plaintiff,

07 Civ. 7227 (AKH) (AJP)

-against-

PLAINTIFF'S INITIAL DISCLOSURE PURSUANT TO RULE 26(A)(1) OF THE FEDERAL RULES OF CIVIL PROCEDURE

BELLEVUE HOSPITAL,

Defendants.

Plaintiff Carlos Viguera, by his attorney ROOSEVELT SEYMOUR, hereby provides defendant with initial disclosure pursuant to Federal Rules of Civil Procedure 26(a) (1) as follows:

(A) The name, and if known, the address and telephone number of each individual likely to have discoverable information that the disclosing party may use to support its claims or defenses, unless solely for impeachment, identifying the subject of information.

Response. a) Harry Blum, employee of New York City Health and Hospital Corporation. Current resent address unknown. Telephone number,646) 456-4918. Mr. Blum was present when Ana Blanco made allusions to the size of Mr. Viguera's penis. (B) Copy of or a description by category and location of all documents, data compilations and tangible things that are in the possession, custody, or control of the party and that the disclosing party may use support its claims or defenses, unless solely for impeachment.

Response. See documents enclosed herewith. Plaintiff is also in possession of cell phone containing text messages from Ana Blanco, which is available for inspection.

C) Computation of any category of damages claimed by the disclosing parties, making available for inspection and copying as under Rule 34 the document or other evidentiary material, not privileged or protected from disclosure, on which computation is based, including materials bearing on the nature and extent of injuries.

Response. Plaintiff has not made specific calculations of damages at this time but will provide the information when all medical documentation become available.

(D) For inspection and copying as under Rule 34 any insurance agreement under which any person carrying on an insurance business may be liable to satisfy part or all of a judgment which may be entered in the action or to indemnify or reimburse for payment made to satisfy the judgment. Response. This provision of Rule 26(a)(1) does not apply to the plaintiff.

Dated: New York, New York January 31, 2008

ROOSEVELT SEYMOUR
Attorney for Plaintiff
175 Remsen Street, Suite 602
Brooklyn, New York 11201
(718) 802-0055

To: Kami Barker, Esq.
The New York City Law Department
100 Church Street
New York, New York 10007

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From: Ana Blanco

Τo: Carlos Viguera; Elvis Marte; Heidy Delacruz; Jacqueline Ventura; Marielle Mehu; Marta Herrera; Micheal Irizabal; Mirian Villar

7/11/2007 1:21:49 PM

Subject: Fwd: Hi

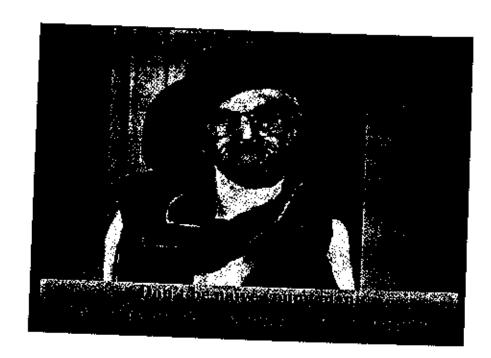
Ana Blanco Bellevue Hospital Center Phone 212-562-2404 Fax 212-562-5008 Email: Ana.Blanco@Bellevue.nychhc.org

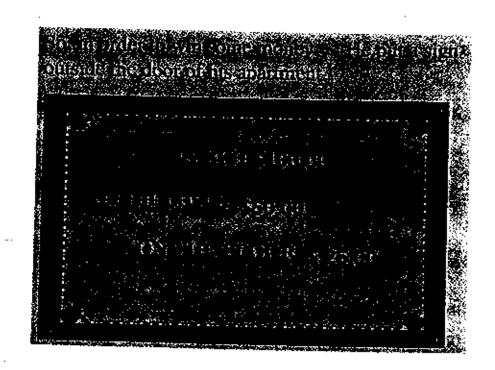
>>> Aaron Bryant 7/11/2007 12:23 PM >>> How are U today , Did you go walking at the track yesterday?

The young man opens the door and the old lady hands him the money. The boy, touched, gives her a kiss and after counting the money tells her:

It's 100\$, so you wanna do it in bed?







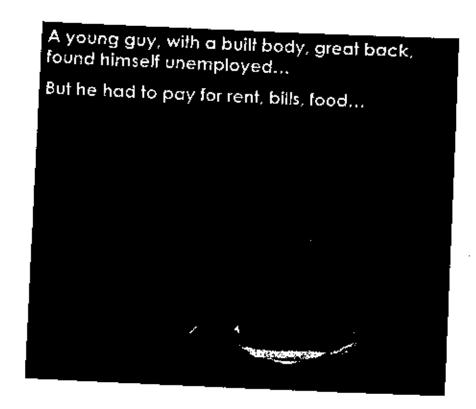
Not long after that, an old woman walks by his door...

She stops to read the sign, and then thoughtful goes back home... There she decides to break her piggy bank and take the few savings she had left...

And with the money in her hand she heads for the young man's apartment

Knock knock...





Ana Blanco
Coordinating Manager
Bellevue Hospital Center
Phone 212-562-2404
Fax 212-562-5008
Email: Ana.Blanco@Bellevue.nychhc.org

>>> Yeniber Blanco <dabklynmami1729@yahoo.com> 8/4/2007 10:38 PM >>>

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Ana Blanco
Coordinating Manager
Bellevue Hospital Center
Phone 212-562-2404
Fax 212-562-5008
Emall: Ana. Blanco@Bellevue.nychhc.org

>>> Yeniber Blanco <dabklynmami1729@yahoo.com> 8/5/2007 1:33 PM >>>

Fussy? Opinionated? Impossible to please? Perfect. Join Yahoo!'s user panel and lay it on us.



P O14



P 015

Page 1

From:

Ana Blanco

To:

Carlos Viguera

Date:

8/27/2007 10:03:58 AM

Subject:

the property of the second

Fwd: FW: Cuidado Con El SIDA- Imagenes Muy Fuertes ii

Ana Blanco Coordinating Manager Bellevue Hospital Center Phone 212-562-2404 Fax 212-562-5008

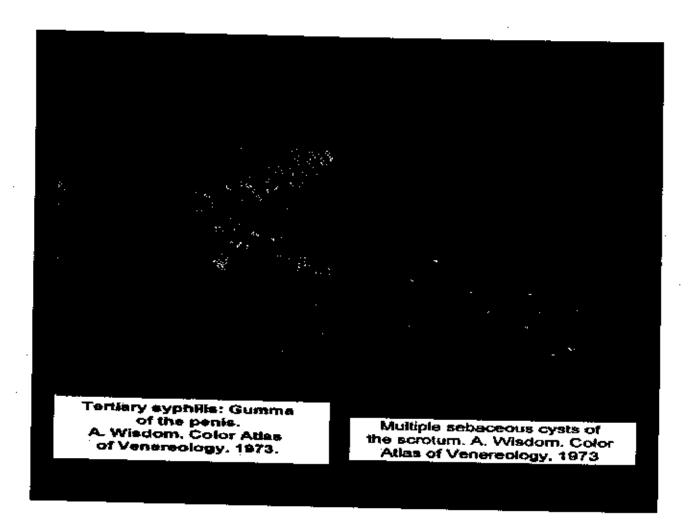
Email: Ana.Blanco@Bellevue.nychhc.org

>>> "BELICA DEL CARMEN ROMANO ESPINAL" <<u>laromantica55@hotmail.com</u>> 8/25/2007 9:59 AM

From: "la beba28 preciosa" < iclove1128@hotmail.com> To: albertoflash@aol.com, belicaromano@hotmail.com, boxinggirl27@hotmail.com, chiqua79@hotmail.com, crespok08@hotmail.com, cyndom09@hotmail.com, dominican qtee@hotmail.com, ernestoandvou@hotmail.com, qvaldez74@hotmail.com, jackelineestevez@hotmali.com, janiel 189@hotmail.com, jenniespinal@hotmail.com, jessicadmorales@hotmail.com, jomilopez17@hotmail.com, katiusca@msn.com, la Rusasexy@hotmail.com, laromantica55@hotmail.com, leninlopeza@hotmail.com, lucy1345@hotmail.com, maribella lopez@hotmail.com, mayomba@hotmail.com, nelsoncastro@hotmail.com, rafael29matos@yahoo.com, xptron@msn.com, Hupevice@yahoo.com, sexybarbie9090@hotmail.com, spo172@hotmail.com, yaniel_fermin@hotmail.com, vapor01@hotmail.com Subject: FW: Cuidado Con El SIDA- Imagenes Muy Fuertes; Date: Thu, 23 Aug 2007 13:45:27 -0500 > > >>From: Sally Vittini <<u>svittini@hotmail.co</u>m> >>To: <<u>iclove1128@hotmail.com</u>> >>Subject: FW: Cuidado Con El SIDA- Imagenes Muy Fuertes;; >>Date: Wed, 22 Aug 2007 20:58:31 +0000 >> >> >>From; jennydepalma@hotmail.comTo: arelisantigua@hotmail.com; >>marlenedeespalllat@hotmail.com; loana2000@hotmail.com; >>Kismely@hotmall.com; la3menda_pri@hotmall.com; >>tata92387@hotmail.com; negryxo@hotmail.com; nery_09@hotmail.com; >>mamitax@hotmail.com; laura_santana86@hotmail.com; >>joymasantana@aol.com; neely @hotmail.com; twinsgirl@hotmail.com; >>svittinl@hotmail.com; viyonick@hotmail.comSubject; FW; Culdado Con >>El SIDA- Imagenes Muy Fuertes; Date: Mon, 6 Aug 2007 17:17:26 -0400 >> >From: "SORA GRULLen" <sor37@hotmail.com>>To: P 016 >>aisabelmoreta@hotmail.com, asie16@hotmail.com, >>bembaloca@hotmail.com, >franchesca_catalino@hotmail.com,

COLOMEIA PASO COMO EL 1er PAIS COM DE COCIODEIS DE TRANSASSICIA SEXUAL QUE SUPERA A BRASIL...

- LA UNICA FORMA DE PREVENIRLAS ES EDUCANDO Y MOSTRANDO LO DOLOROSO QUE DEBE SER CAER EN ESTE ESTADO EN QUE SE EXTINGUE LA VIDA EN FORMA LENTA Y SIN NINGUNA ESPERANZA DE REACERLA NUEVAMMENTE.
- REFLEXIONEN SOBRE ESTAS INFECCIONES DE TRANSMISION SEXUAL.



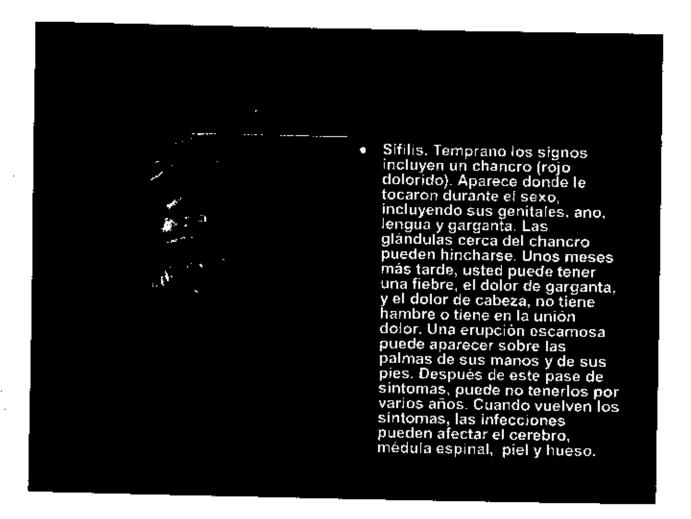
- Si no puede tener una persona estable entonces NO PRACTIQUE MAS SEXO CON NADIE Y MASTURBESE QUE ES MAS SANO
- Tu Vida esta pendiente de tus decisiones.
- Tú tomas lo que quieres y eres libre de hacerlo.
- SI AUN NO ENTIENDES
- Entonces a la vuelta te estaré esperando porque yo soy tu fin sexual
- tu SIDA
- tu muerte
- <u>tu</u>
- FIN
- Y no pidas misericordia al final
- Porque seré implacable.

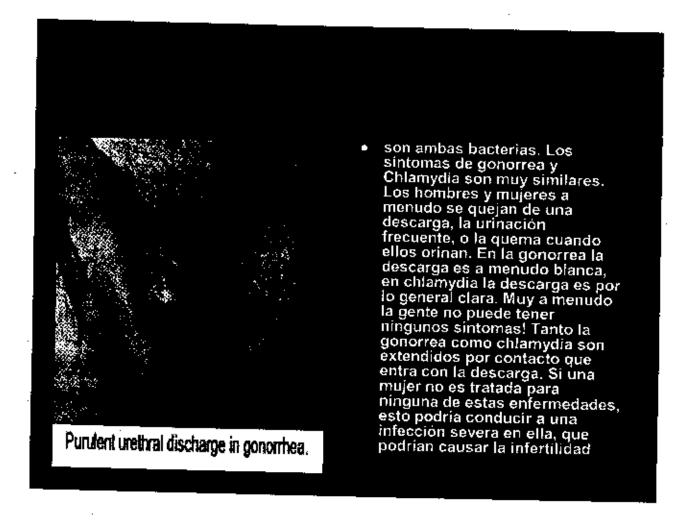
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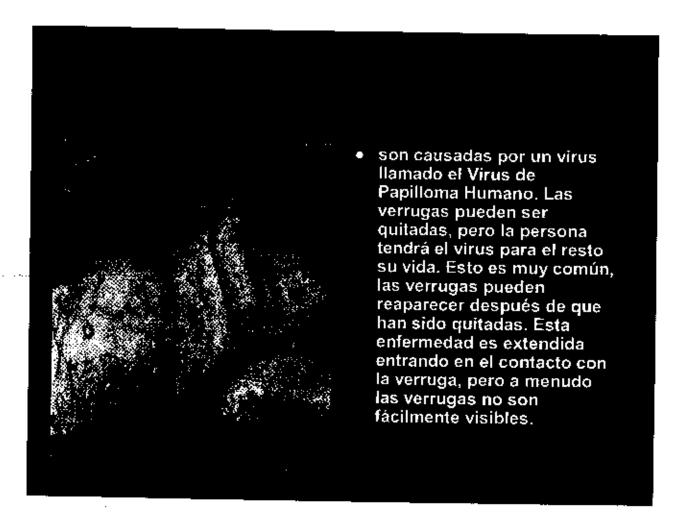
- Si no entiende las cosas de vida aun con sus peligros y acechos entonces les muy bien para que entienda mejor.
 ¿Le gusta tener sexo SIN PROTECCION porque usted demanda que ASI se siente mejor?
 - ¿Desde que comenzó su actividad sexual usted ha tenido sexo hasta la fecha con mas de 10 personas diferentes?
 ¿Le gusta las relaciones accidentales inspiradas por química y atracción fatal?
 - 4. ¿Le gusta dar el sexo oral sin preocuparse?
 5. Si usted contestara SI a cualquiera de estos entonces tiene que ser probado para STD y el SIDA, pues es posible que si usted no se cuido pueda ser portador y no se de cuenta que ya esta infectado y no se le note o presente sintomatología alguna.

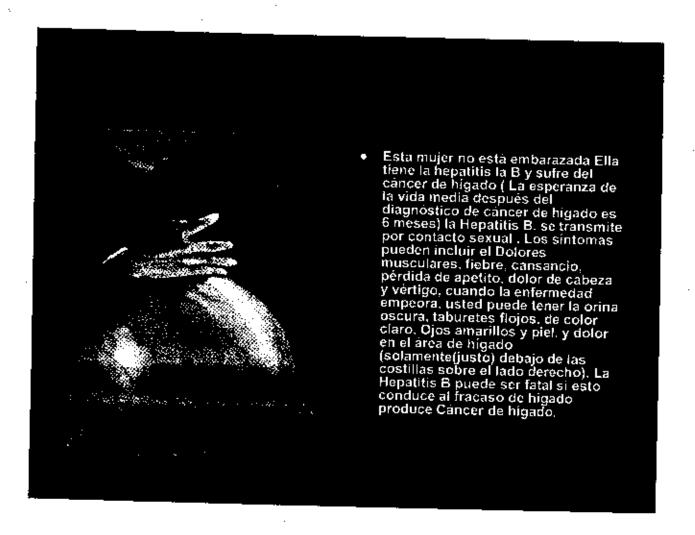
 Herpes. Los síntomas comienzan con el cosquilleo o la picadura alrededor de sus genitales. Las ampollas pueden formarse en el área y luego reventar abierto. Cuando esto pasa, usted podría sentir quema orinando, después da vuelta a costras. Durante El primer brote, usted podría haber aumentado glándulas, la fiebre y dolores de cuerpo. Pero algunas personas no tienen tales síntomas obvios. Los brotes pueden ocurrir para el resto de su vida, pero por lo general tienden a hacerse menos frecuentes y menos dolorosos con el tiempo.

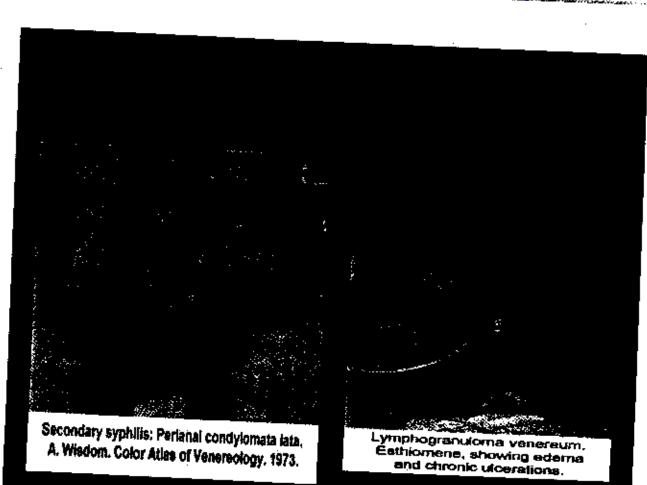
Genital herpes in the male.

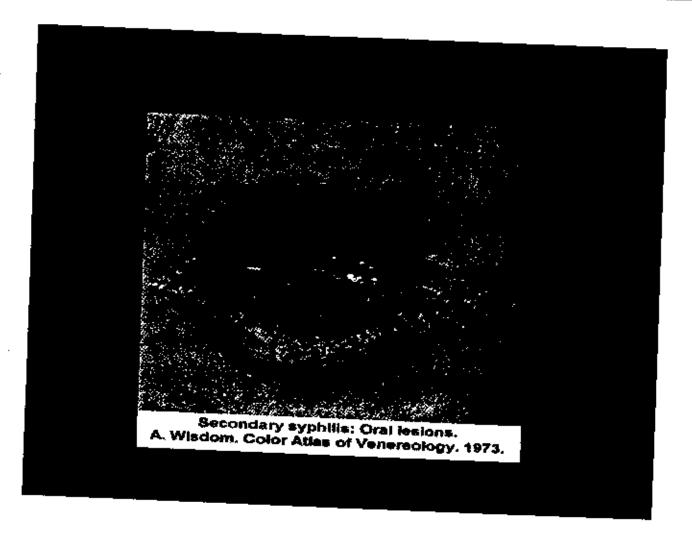


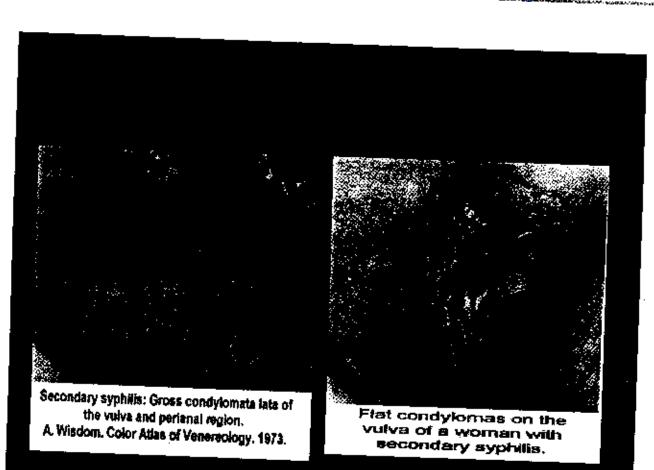


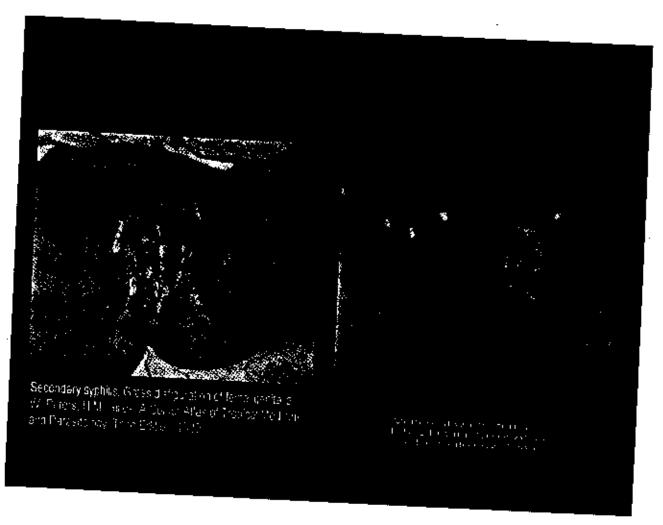














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